

PRO BONO OUTSOURCED CHIEF INVESTMENT OFFICER SERVICES

Janus Henderson manages over \$51.5 billion* of Multi-Asset and Solutions client assets. The Solutions team consists of senior investment leaders across the firm and delivers customised, holistic, multi-asset class investment solutions through a comprehensive and consultative approach leveraging a broad set of investment capabilities. Our unique blend of fundamental and quantitative capabilities covers asset allocation, overlays, hedging programmes, and exposure management.

At Janus Henderson, we believe in bringing our best ideas together to give our clients the best chance of meeting their goals. Our Pro Bono Outsourced Chief Investment Officer (OCIO) programme is designed to give your organisation access to the institutional-caliber investment resources at Janus Henderson at a nominal cost. Selected applicants can expect a partnership approach from Janus Henderson's teams, with an exploration of our offering, a collaborative discussion around your investment context to enable us to deliver a portfolio proposal, followed by an offer of customized portfolio management utilising our broad range of capabilities, including strategic asset allocation optimisations, tactical asset allocation tilts, and manager implementation. Once the initial investment solution has been developed and delivered, you can expect an ongoing partnership approach to ensure we adapt as needed to stay aligned with your evolving priorities.

Applications from charities that meet our requirements are welcome at any point. Our pro bono services are meant to support the work of relevant charities as they grow, providing heavily discounted fees, including rebating of fees charged by any underlying Janus Henderson Investment products used.

Investing involves risk, including the possible loss of principal and fluctuation of value.

*Janus Henderson multi-asset solutions experience and business size consist of assets under management by the following investment teams: Multi-Asset, Asset Allocation, and Balanced. Figure reflects the estimated number of individuals as of year-end 2022 where either their current assets or future benefits are invested in Janus Henderson investment products and is based on JHI's AUM market share by country, the size of the investing population by country, and average account sizes, using industry and government data and internal estimates.

Actively managed investment portfolios are subject to the risk that the investment strategies and research process employed may fail to produce the intended results. Accordingly, a portfolio may underperform its benchmark index or other investment products with similar investment objectives.

Janus Henderson provides investment advisory services in the U.S. through Janus Henderson Investors US LLC, together with its participating affiliates.

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Investable Assets	Fees
\$5-15M	1bp
\$15-35M	5bp
\$35-50M	7bp
\$50M+	Standard fees

Investment requirement of \$5 million in investable assets.

General information

- ▶ **Name:** _____
- ▶ **Email address:** _____
- ▶ **Phone number:** _____
- ▶ **Location of headquarters and other offices:** _____
- ▶ **Founding year of charity:** _____
- ▶ **Charity website:** _____
- ▶ **Executive summary; this should include:**
 - History of your charity, including mission statement and overall goals _____
 - A description of how your project/program aligns with Janus Henderson's purpose: to invest in a brighter future together _____
 - Impact of your project/program, including defined goals and expected outcomes _____
 - How you partner with other organizations in the community to serve your constituents _____
 - Measurable results your organization has achieved _____

Financial information

(Not all Financial information fields are mandatory, but any information is helpful for our selection process)

- ▶ **Total investable assets:** _____
- ▶ **Investment performance – e.g., readily available information such as:** _____
 - Trailing returns _____
 - Calendar year returns _____
 - Any commonly used risk statistics _____
- ▶ **Details on your existing investment guidelines and specific financial needs, e.g.:** _____
 - Income, total return, or other targets _____
 - Risk, drawdown, liquidity, and/or other constraints _____
 - Asset allocation information _____
 - Benchmark indices and weights _____
 - Indices, strategies, and/or managers used _____
 - Any meaningful constraints (e.g., countries, ESG, etc.) _____
 - Any relevant commentary on the organisation's perception of the investment process (e.g., generally pleased with performance, unhappy with results, etc.) _____
- ▶ **A list of your major sources of financial support, including top donors:** _____

Organisational information

- ▶ **US IRS 501(c)(3) charity determination letter or global charity equivalent (provide copy)**
- ▶ **A list of your organisation's board of directors:** _____
 - Your board's give/get policy _____
 - Percentage of your board that has met this obligation _____

Signature

- ▶ **I certify that I am authorized to submit this application on behalf of the organization listed above, and all information provided is accurate to the best of my knowledge.** _____

Contact **OutsourcedChiefInvestmentOfficer@janushenderson.com** for more information or to submit your application.