

Retirement Plan Account Application

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-1093

Use this form to establish a retirement account at Janus Henderson under your existing employer-sponsored retirement plan (e.g., 401k, PSP, MPPP).

- You must be a US Citizen or a US Resident Alien residing in the United States or a US Territory to open a Janus Henderson account.
- **Important Note:** To help the government deter money laundering and terrorism funding activities, all financial institutions are required to obtain, verify and record information that identifies each person who opens an account. Please read important disclosures in Step 10.
- Print in capital letters using black ink.

Questions?

Call us at **800-525-3713**

In a Hurry?

Fax form to **877-319-3852**

Step 1 - Provide us information about the plan (All fields required)

Qualified Retirement Plan Yes No

Name of Retirement Plan

Date of Adoption of Retirement Plan

Taxpayer Identification Number

For the Benefit of (FBO, Name of Participant), if applicable

Name of Corporation or Entity Sponsoring this Plan

Remember to sign on the last page

Step 2 - Provide the mailing address and contact information for the plan (If you provide a PO Box, you must also fill out **Physical Address** below)

Address

City

State

Zip Code

Phone Number

E-mail Address (optional)

Physical Address (Required, if different from above. No PO Box addresses)

Address

City

State

Zip Code

Step 3 - Provide us information about the Trustee/Plan Administrator (If there are more than two trustees/plan administrators, please attach a separate sheet)

Name of Trustee/Plan Administrator

Has independent capacity to act.

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Physical Address (Required, if different from above. No PO Box addresses.)

Address

City

State

Zip Code

Remember to sign on the last page

Step 4 - Provide us information about the Co-Trustee/Plan Administrator

Name of Co-Trustee/Plan Administrator

Has independent capacity to act.

_____	_____	_____
First Name	Middle Initial	Last Name
_____	_____	
Social Security Number	Date of Birth	

Physical Address (Required, if different from above. No PO Box addresses.)

Address		
_____	_____	_____
City	State	Zip Code

Step 5 - Certificate of Authorization

Janus Henderson must obtain proof that the entity sponsoring the plan is a valid, legal US entity. As evidence of this, please provide a copy of the Retirement Plan or Trust Document (page(s) showing trust name and trustees, signature page, and successor trustee(s) page(s), Corporate Resolution, Secretary Certificate, Articles of Incorporation, Bylaws or Partnership Agreement, whichever is most applicable to the entity sponsoring this plan.

If you have any questions, please call a Janus Henderson representative at 800-525-1093.

The undersigned hereby certifies that he/she is the duly elected Secretary of: _____
(Name of Corporation/Organization)

and that the Trustee(s)/Plan Administrator(s) named in Section 3 is/are duly authorized by resolution or otherwise to act on behalf of the Corporation/Organization in connection with the Corporation's/Organization's ownership shares of any mutual fund managed by Janus Henderson (individually, the "Fund" and collectively, the "Funds") including, without limitation, furnishing any such Fund and its transfer agent with instructions to transfer or redeem shares of that Fund payable to any person or in any manner, or to redeem shares of that Fund and apply the proceeds of such redemption to purchase shares of another fund (an "exchange"), and to execute any necessary forms in connection therewith.

If the undersigned is the only person authorized to act on behalf of the Corporation/Organization, the undersigned certifies that he/she is the sole shareholder, director, and officer of the Corporation/Organization and that the Corporation's/Organization's Charter, Articles of Incorporation or Bylaws provide that he/she is the only person authorized to act.

Unless expressly declined the undersigned further certifies that the Corporation/Organization has authorized by resolution or otherwise the establishment of the telephone exchange and telephone redemption by check privileges for the Corporation's/Organization's account with any Fund offering such privilege.

Remember to sign on the last page

If elected the undersigned also certifies that the Corporation/Organization has similarly authorized establishment of the electronic transfer, and telephone redemption by wire for the Corporation's/Organization account with any Fund offering said privileges. Certain transactions may require additional documentation, please refer to the Janus Henderson Prospectus. The undersigned has further authorized each Fund and its transfer agent to honor any written, telephone, or facsimile instructions furnished pursuant to any such privilege by any person believed by the Fund or its transfer agent to their agents, officers, directors, trustees, or employees to be authorized to act on behalf of the Corporation/Organization and agrees that neither the fund nor its transfer agent, their agents, officers, trustees, or employees will be liable for any loss, liability, cost or expense for acting upon any such instructions.

These authorizations shall continue in effect until after the Fund and its transfer agent receive written notice from the Corporation/Organization of any change.

In Witness Whereof, I have hereunto subscribed my name as Secretary and affixed the seal of the Corporation/Organization this _____ day of _____, 20 _____.

Corporate Seal Here (if available)

X

Secretary Signature

Step 6 - Provide the Janus Henderson funds you would like to own

See available Janus Henderson Funds on last page.

Fund Name	% or \$ Amount
Fund Name	% or \$ Amount
Fund Name	% or \$ Amount
Fund Name	% or \$ Amount

Remember to sign on the last page

Step 7 - Provide how you would like to make your initial fund purchase (check one)

- Electronically** Make a one-time withdrawal of \$ _____ from the bank account listed in Step 9.
- Check** Make your personal check or Cashier's check payable to **Janus Henderson** and enclose it with your completed application.

Step 8 - Provide which funds you want to invest in on a regular basis through Janus Henderson's Automatic Investment Program (optional)

Enroll in our Automatic Investment Program (AIP) and we will automatically transfer a set amount (minimum \$50) from your bank account directly into the Janus Henderson fund(s) of your choice. If you would like to enroll, please provide your bank information in Step 9. Your AIP may generally be modified or cancelled at any time by visiting janushenderson.com or by calling a Janus Henderson representative.

Fund Name	Investment Amount* (\$50 min.)	Frequency*
		<input type="checkbox"/> Monthly
		<input type="checkbox"/> Every Other Month
		<input type="checkbox"/> Quarterly

Starting Month	Investment Date*
----------------	------------------

Fund Name	Investment Amount* (\$50 min.)	Frequency*
		<input type="checkbox"/> Monthly
		<input type="checkbox"/> Every Other Month
		<input type="checkbox"/> Quarterly

Starting Month	Investment Date*
----------------	------------------

Fund Name	Investment Amount* (\$50 min.)	Frequency*
		<input type="checkbox"/> Monthly
		<input type="checkbox"/> Every Other Month
		<input type="checkbox"/> Quarterly

Starting Month	Investment Date*
----------------	------------------

Fund Name	Investment Amount* (\$50 min.)	Frequency*
		<input type="checkbox"/> Monthly
		<input type="checkbox"/> Every Other Month
		<input type="checkbox"/> Quarterly

Starting Month	Investment Date*
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*If investment amount, frequency or investment date are not specified, investments of \$50 will be made on the 20th of each month.

Remember to sign on the last page

Step 9 - Provide your bank information

Please provide your bank information if you are enrolling in **Janus Henderson's Automatic Investment Program** and/or would like to make future **electronic purchases and redemptions**.

Please attach a *preprinted* voided item.

Need an alternative to a voided item? Please contact a Janus Henderson representative at **800-525-3713**.

This is a: Checking Account Savings Account



Bank account owner(s), if different from all Janus Henderson account owner(s), are required to sign below to add Purchase options. To add Redemption options, if all bank owner(s) are different from the Janus Henderson account owner(s), fill out the Bank Options Form.

X _____
Signature of Bank Account Owner Date

X _____
Signature of Bank Account Owner Date

Remember to sign on the last page

Step 10 - Please read and sign

By signing, I:

- Certify that I have received and read the current prospectus of the Fund(s) in which I am investing. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- Certify that I am the Trustee/Plan Administrator of an existing retirement plan and acknowledge that I am responsible for the tax reporting of this plan as Janus Henderson does not provide tax reporting on these types of accounts.
- Agree to read the prospectus for any Janus Henderson Fund(s) into which I may request an exchange in the future. I understand that the terms, representations and conditions in this application and the prospectus, as amended from time to time, will apply to this account and any account established at a later date.
- Authorize the Fund and its agents to act upon instructions (by phone, in writing, online or by other means) believed to be genuine and in accordance with procedures described in the prospectus for this account or any account into which exchanges are made. I agree that neither the Funds nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions, provided the Fund employs reasonable procedures to confirm that instructions communicated are genuine. I understand it is my responsibility to review account statements and inform Janus Henderson of any errors posted to my account. I understand Janus Henderson reserves the right not to correct errors not brought to the company's attention within a reasonable time period. I understand that anyone who can properly identify my account(s) may be able to make telephone transactions on my behalf.
- Authorize the Fund and its agents to reinvest all income dividends and capital gains distributions.
- Consent to the 'householded' delivery of any Fund prospectuses, shareholder reports or other documents (except transaction confirmations and account statements) that I am required, by law, to receive. This means Janus Henderson will generally deliver a single copy of most annual and semiannual reports, prospectuses and newsletters to investors who share an address, even if the accounts are registered under different names. My participation in this program will continue indefinitely unless I contact Janus Henderson.
- Authorize credits/debits to/from the bank account designated in conjunction with the account options selected. I agree that Janus Henderson will be fully protected in honoring any such transaction. I also agree that Janus Henderson may make additional attempts to debit/credit my account if the initial attempt fails and that I will be liable for any associated costs. All account options selected will become part of the terms, representations and conditions of this application.
- **Important Note:** To help the government deter terrorism funding and money laundering activities, all financial institutions are required to obtain, verify and record information that identifies each person who opens an account. So that we may comply with these requirements, we ask you to please complete Steps 1&3 in their entirety when opening an account with Janus Henderson. The omission of this information will result in the return of your application and investment. Please note that your ability to perform transactions in your account may also be affected or otherwise delayed if Janus Henderson cannot easily verify the accuracy of the required information in Steps 1&3. If, after 30 days, Janus Henderson is still unable to verify the required information, your account may be closed and your shares redeemed at the next available NAV.

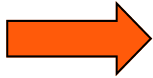
Remember to sign on the last page

Step 10 - (continued)

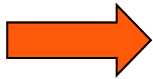
Under penalty of perjury, I certify that:

1. The Taxpayer Identification Number(s) shown on this application is/are correct.
2. The entity is not subject to backup withholding because: (a) the entity is exempt from backup withholding; or (b) the entity has not been notified by the Internal Revenue Service (IRS) that the entity is subject to backup withholding as a result of failure to report all interest or dividends; or (c) the IRS has notified the entity that it is no longer subject to backup withholding. Cross out item 2 if the entity has been notified by the IRS that the entity is currently subject to backup withholding.
3. The entity is a US Corporation located in the United States or a US Territory.
4. The entity is exempt from reporting per the Foreign Account Tax Compliance Act (FATCA).

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.



X _____
Signature of Trustee/Plan Administrator Date



X _____
Signature of Co-Trustee/Plan Administrator (if applicable) Date

Janus Henderson Funds

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-3713

U.S. Equity

Contrarian Fund (61)
Enterprise Fund (50)
Forty Fund (46)
Growth & Income Fund (40)
Mid Cap Value Fund (67)
Research Fund (48)
Small Cap Value Fund** (65)
Small-Mid Cap Value Fund (85)
Triton Fund** (74)
U.S. Managed Volatility Fund (26)
Venture Fund** (45)

Asset Allocation

Adaptive Global Allocation Fund (44)
Balanced Fund (51)
Global Allocation Fund - Conservative (78)
Global Allocation Fund - Growth (76)
Global Allocation Fund - Moderate (77)

Fixed Income

Absolute Return Income Opportunities Fund (90)
Developed World Bond Fund (71)
Flexible Bond Fund (49)
Global Bond Fund (80)
High-Yield Fund (57)
Multi-Sector Income Fund (89)
Short-Term Bond Fund (52)

Global/International Equity

Asia Equity Fund (83)
Dividend & Income Builder Fund (33)
Emerging Markets Fund (39)
Emerging Markets Managed Volatility Fund (32)
European Focus Fund (47)
Global Equity Income Fund (53)
Global Income Managed Volatility Fund (84)
Global Life Sciences Fund (59)
Global Real Estate Fund (31)
Global Research Fund (41)
Global Select Fund (62)
Global Sustainable Equity Fund (73)
Global Technology & Innovation Fund (60)
International Managed Volatility Fund (30)
International Opportunities Fund (58)
Overseas Fund (54)

Money Market

Government Money Market Fund (38)
Money Market Fund (37)*

*Positions in Money Market Fund other than those beneficially owned by natural persons may no longer be opened. If this fund is selected to open an account that is not beneficially owned by a natural person, the purchase will be deposited into Government Money Market Fund.

**Closed to certain new investors.

INVESTING WITH JANUS HENDERSON

Investor Education

As a leading global asset manager, Janus Henderson offers numerous investment options designed to help you reach your investment goals. After looking at our investment line-up, you may be feeling overwhelmed and not sure where to start. We aim to make investing with us an easy process for our clients. Whether you want instant portfolio diversification with our allocation funds or prefer to build your own portfolio by selecting individual funds, we have products to help you reach your investment goals.

Janus Henderson Global Allocation Funds

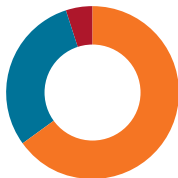
These funds of funds offer broad global diversification in a single investment by utilizing the full spectrum of Janus Henderson Investment expertise and solutions. The Funds are built to match your risk tolerance.

GLOBAL ALLOCATION FUND – CONSERVATIVE (JMSCX)



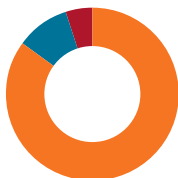
Targeting an allocation of 30% to 50% equities, 50% to 65% fixed income and 0% to 20% alternatives, this fund may be suitable for conservative investors desiring a well-diversified portfolio that seeks to exceed the long-term returns of fixed income markets.

GLOBAL ALLOCATION FUND – MODERATE (JNSMX)



Targeting an allocation of 45% to 65% equities, 30% to 45% fixed income, and 5% to 20% alternatives, this fund may be suitable for moderate investors desiring a well-diversified portfolio that seeks to exceed the long-term returns of broad markets.

GLOBAL ALLOCATION FUND – GROWTH (JNSGX)



Targeting an allocation of 70% to 85% equities, 10% to 25% fixed income, and 5% to 20% alternatives, this fund may be suitable for growth investors desiring a well-diversified portfolio that seeks to exceed the long-term returns of broad markets.

Janus Henderson Balanced Fund (JANBX)

FUND HIGHLIGHTS

- Large-cap growth equities paired with an actively managed intermediate-term bond strategy
- Dynamic asset allocation approach that migrates between 35%-65% equity depending on market conditions
- Integrated research allows our equity and fixed income analysts to work side by side covering the same global sectors

Overall Morningstar Rating™



Out of 653 Allocation--50% to 70%
Equity Funds as of 9/30/21

Other Popular Janus Henderson Funds

Fixed Income

MULTI-SECTOR INCOME FUND (JMUDX)

For investors seeking a steady stream of high income with lower risk than a dedicated high-yield strategy.

Overall Morningstar Rating™



Out of 271 Multisector Bond Funds as of 9/30/21

DEVELOPED WORLD BOND (HFADX)

Investing across a wide range of fixed income securities, the Fund seeks income and total return while actively managing duration and credit exposure.

Overall Morningstar Rating™



Out of 104 World Bond-USD Hedged Funds as of 9/30/21

Past performance is no guarantee of future results.

TO LEARN MORE ABOUT THESE AND ALL JANUS HENDERSON FUNDS, PLEASE VISIT JANUSHENDERSON.COM/ALLFUNDS

Foreign securities are subject to additional risks including currency fluctuations, political and economic uncertainty, increased volatility, lower liquidity and differing financial and information reporting standards, all of which are magnified in emerging markets.

Increased portfolio turnover may result in higher expenses and potentially higher net taxable gains or losses.

Derivatives can be highly volatile and more sensitive to changes in economic or market conditions than other investments. This could result in losses that exceed the original investment and may be magnified by leverage.

Real estate securities, including Real Estate Investment Trusts (REITs) may be subject to additional risks, including interest rate, management, tax, economic, environmental and concentration risks.

Growth stocks are subject to increased risk of loss and price volatility and may not realize their perceived growth potential.

There are special risks associated with selling securities short. Stocks sold short have the potential risk of unlimited losses.

High-yield or "junk" bonds involve a greater risk of default and price volatility and can experience sudden and sharp price swings.

Fixed income securities are subject to interest rate, inflation, credit and default risk. The bond market is volatile. As interest rates rise, bond prices usually fall, and vice versa. The return of principal is not guaranteed, and prices may decline if an issuer fails to make timely payments or its credit strength weakens.

Concentrated investments in a single sector, industry or region will be more susceptible to factors affecting that group and may be more volatile than less concentrated investments or the market as a whole.

Investing involves market risk; principal loss is possible.

Equity securities are subject to risks including market risk. Returns will fluctuate in response to issuer, political and economic developments.

Diversification neither assures a profit nor eliminates the risk of experiencing investment losses.

Alternative investments include, but are not limited to, commodities, real estate, currencies, hedging strategies, futures, structured products, and other securities intended to be less correlated to the market. They are typically subject to increased risk and are not suitable for all investors.

Actively managed portfolios may fail to produce the intended results. No investment strategy can ensure a profit or eliminate the risk of loss.

Performance of the Global Allocation Funds depends on that of the underlying funds. They are subject to the volatility of the financial markets. Because Janus Capital Management is the adviser to the Fund and to the underlying affiliated funds held within the Fund, it is subject to certain potential conflicts of interest.

C-1021-40252 01-15-22

Equities

GLOBAL REAL ESTATE FUND (JNGSX)

Our team of real estate experts combines a boots-on-the-ground presence with in-depth market knowledge, seeking to create a truly active, high-conviction portfolio.

Overall Morningstar Rating™



Out of 196 Global Real Estate Funds as of 9/30/21

GLOBAL LIFE SCIENCES FUND (JNGLX)

By understanding the science and business of health care, our experienced team invests in companies addressing unmet medical needs or improving efficiencies.

Overall Morningstar Rating™



Out of 138 Health Funds as of 9/30/21

Janus Henderson
INVESTORS

Duration measures a bond price's sensitivity to changes in interest rates. The longer a bond's duration, the higher its sensitivity to changes in interest rates and vice versa.

The Morningstar Rating™ for funds, or "star rating", is calculated for funds with at least a three-year history. Exchange-traded funds and open-ended mutual funds are considered a single population for comparative purposes. It is calculated based on a Morningstar Risk-Adjusted Return measure that accounts for variation in a fund's monthly excess performance, placing more emphasis on downward variations and rewarding consistent performance. The Morningstar Rating does not include any adjustment for sales loads. The top 10% of funds in each category receive 5 stars, the next 22.5% receive 4 stars, the next 35% receive 3 stars, the next 22.5% receive 2 stars, and the bottom 10% receive 1 star. The Overall Morningstar Rating for a fund is derived from a weighted average of the performance figures associated with its three-, five-, and 10-year (if applicable) Morningstar Rating metrics. Ratings may vary by share class.

Funds were selected based on a 4 or 5 star Overall Morningstar Rating™. Janus Henderson has other funds ranked/rated lower by Morningstar.

Ratings and/or rankings do not indicate positive performance.

As of 9/30/21, Janus Henderson Balanced Fund Class D Shares Morningstar Ratings™ in the Allocation-50% to 70% Equity category: 5 stars out of 653 funds, 5 stars out of 596 funds and 5 stars out of 427 funds, for the 3-, 5-, and 10-year periods, respectively.

As of 9/30/21, Janus Henderson Global Life Sciences Fund Class D Shares Morningstar Ratings™ in the Health category: 4 stars out of 138 funds, 4 stars out of 130 funds and 4 stars out of 106 funds, for the 3-, 5-, and 10-year periods, respectively.

As of 9/30/21, Janus Henderson Global Real Estate Fund Class D Shares Morningstar Ratings™ in the Global Real Estate category: 5 stars out of 196 funds, 5 stars out of 174 funds and 5 stars out of 109 funds, for the 3-, 5-, and 10-year periods, respectively.

As of 9/30/21, Janus Henderson Multi-Sector Income Fund Class D Shares Morningstar Ratings™ in the Multisector Bond category: 4 stars out of 271 funds and 4 stars out of 237 funds, for the 3-, and 5-year periods, respectively.

As of 9/30/21, Janus Henderson Developed World Bond Fund Class D Shares Morningstar Ratings™ in the World Bond-USD Hedged category: 5 stars out of 104 funds, 5 stars out of 77 funds and 5 stars out of 47 funds, for the 3-, 5-, and 10-year periods, respectively.

Please consider the charges, risks, expenses and investment objectives carefully before investing. For a prospectus or, if available, a summary prospectus containing this and other information, please call Janus Henderson at 800.525.3713 or download the file from janushenderson.com/reports. Read it carefully before you invest or send money.

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