

Trading Privileges Form

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Use this form to authorize a person **other than the account owners** to trade on your Janus Henderson account.

In a Hurry?

Fax form to **(877) 319-3852**

- Limit of one authorized trader per form.
- To add more than one authorized trader to your account, please complete a separate form for each authorized trader.
- All account owners and the authorized trader must sign in Step 4.
- Any account subsequently opened will require a new Trading Privileges Form to establish an authorized trader for that account.

Step 1 – Account Information

Primary Owner/Trustee

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Phone Number

Joint Owner/Trustee/Custodian (if applicable)

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Phone Number

Trust Information (if applicable)

Trust Name

Tax ID Number

Step 2 – Account Selection

I would like to add an authorized trader to (select one):

- ☐ All accounts under the primary owner's Social Security Number or Tax ID listed above
- ☐ The following accounts:

Account # _____

Account # _____

Account # _____

Note: The authorized trader you have listed will be added in addition to other authorized traders currently on your account.

- OR -

I would like to delete an authorized trader from (select one):

- ☐ All accounts under the primary owner's Social Security Number or Tax ID listed above
- ☐ The following accounts:

Account # _____

Account # _____

Account # _____

Step 3 – Authorized Trader Information (All fields required)

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Phone Number

Physical Address

City

State

ZIP Code

Important Note: To help the government deter terrorism funding and money laundering activities, all financial institutions are now required to obtain, verify, and record the information that identifies each person who has authority to trade on a Janus Henderson account. So that we may comply with these requirements, we ask you to please complete Steps 1 and 3 in their entirety. The omission of this information will result in the return of your Janus Henderson Trading Privileges Form. Please note that the ability to perform transactions as an authorized trader may also be affected or otherwise delayed if Janus Henderson cannot easily verify the accuracy of the required information in Steps 1 and 3. If Janus Henderson is unable to verify the required information, your authorized trader information will be removed from all applicable accounts.

Step 4 – Read and Sign

I/We hereby agree to indemnify and hold Janus Henderson, its affiliates, and any directors, officers, employees, or agents of these entities, including, without limitation, each Janus Henderson fund, harmless from any claims (including reasonable attorney's fees) that may arise by reason of acting upon instructions, either oral, written, or electronically believed to have originated from the authorized trader under the authorization contained in this agreement, and from any and all acts of the authorized trader with respect to my Janus Henderson accounts.

This authorization is ongoing and shall remain in full force and effect until Janus Henderson receives notice of its revocation. Janus Henderson accepts no liability for acting on instructions from the authorized trader in cases in which it is not notified of the owner's death. Upon notification of the death of an account owner, this authorization will no longer be in effect.

I/We hereby designate and authorize the authorized trader identified in Step 3 to exercise each and all of the following acts with respect to my Janus Henderson accounts designated on this form in accordance with Janus Henderson policies that are in effect at the time of such request to:

1. Purchase, sell, and exchange shares;
2. Change the mailing address of my accounts;
3. Make inquiries regarding my accounts and receive account information;
4. Make minor account option changes such as dividend and capital gains distribution options; and
5. Select a cost basis election.

I/We understand that the authorized trader will not have authority to:

1. Add, delete, or change any banking information;
2. Request a wire transfer to any account other than my bank account of record;
3. Add, delete, or change any beneficiary designation;
4. Redeem shares from my fund accounts and have the proceeds payable or mailed to anyone other than the account owners;
5. Have check writing privileges on my accounts;
6. Establish, change or reset PIN or Password;
7. Change the ownership of my accounts; and
8. Complete a Direct Advice Investor Questionnaire on my behalf.



Signature of Owner/Trustee/Custodian

Date



Signature of Joint Owner/Co-Trustee (if applicable)

Date



Signature of Authorized Trader

Date