

# Conservatorship/Guardianship Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-3713

Use this form to establish a Conservator or Guardian on a Janus Henderson Account.

- You must provide a certified copy of the court document naming you as Conservator/Guardian, dated within the last 60 days.
- The minor or legally incapacitated individual will no longer have authority to act on the account.
- If the Conservator/Guardian is a bank or company, a copy of the corporate resolution or secretary's certificate (dated within the last 6 months) is required.
- Some transactions may require additional documentation or may be prohibited. For assistance in determining the requirements for a particular request, please call a Janus Henderson representative at 800-525-3713.

**1. Account Information** (All fields required)

First Name (Account owner)	Middle Initial	Last Name
Social Security Number		Date of Birth

**Janus Henderson Account Number(s) to add Conservator/Guardian to:**

**2. Conservator/Guardian Information**

First Name (Conservator/Guardian)	Middle Initial	Last Name
Social Security Number		Date of Birth
Conservator/Guardian's Address (No PO Box addresses)	Street Name	Apartment Number
City	State	Zip Code
Conservator/Guardian's Preferred Phone Number (Required)		Additional Phone Number (Optional)
First Name (Co-Conservator/Guardian)	Middle Initial	Last Name
Social Security Number		Date of Birth
Co-Conservator/Guardian's Address (No PO Box addresses)	Street Name	Apartment Number
City	State	Zip Code
Co-Conservator/Guardian's Preferred Phone Number (Required)		Additional Phone Number (Optional)

**3. Please read and sign below.**

I, the Conservator/Guardian being duly sworn, depose and state that the attached court order is in full force and effect, and to the best of my knowledge, I affirm and state that:

1. I am the Conservator or Guardian named in the Court Order dated \_\_\_\_\_.
2. The account owner is not deceased, and my appointment as Conservator or Guardian has not been terminated or expired.
3. I understand that neither Conservators nor Guardians have the authority to appoint someone to act on their behalf through a Power of Attorney or similar instrument.
4. I agree not to give, transmit, convey, or issue any instructions concerning the above-referenced account(s) that I know or believe are not authorized by or otherwise not in compliance with, or in violation of the attached Court Order.
5. I hereby agree to indemnify and hold Janus Henderson, its affiliates, and any directors, officers, employees, or agents of these entities, including without limitation each Janus Henderson Fund, harmless from any claims and costs (including reasonable attorney's fees) that may arise to it by reason of its having relied upon my instructions, either oral, written or electronically under the authorization contained in the Court Order.
6. I agree that any information given on this form may be subject to verification. I agree to be bound by all terms and conditions set forth in any agreements related to the account owner's Janus Henderson accounts (including the applicable prospectus(es), IRA Custodial Agreement and Disclosure Statement).
7. To help the government fight the funding of terrorism and money laundering activities, Janus Henderson is required to obtain, verify, and record information that identifies the Conservator or Guardian acting on behalf of the account owner. Your ability to perform transactions in the account may be affected or otherwise delayed if Janus Henderson cannot readily verify the accuracy of the required information in Section 2.

**Signed:**

All Conservators/Guardians must sign.

<b>X</b>		<b>X</b>	
Signature of Conservator/Guardian	Date	Signature of Co-Conservator/Guardian	Date
Printed Name of Conservator/Guardian		Printed Name of Co-Conservator/Guardian	