

ADDITIONAL INVESTMENT FORM

Valid from 28 August 2020

Please use this form if you are an existing investor and wish to invest in another Janus Henderson Fund and/or make another additional investment to your existing Janus Henderson Fund.

Please complete all sections in block capitals using a black pen. If you make an error while completing this form, do not use correction fluid. Please cross out your mistakes and initial your changes.

1. Read and ensure you understand the Product Disclosure Statement ("PDS") for each fund you are making an investment.

The PDS is available on our website www.janushenderson.com/australia or from your financial adviser.

2. Please ensure that you have completed the following:

- Entered your investor number and investor name as it appears on the latest periodic or transaction statements
- Entered the amount in Australian dollars (AUD)
- Ticked the distribution instruction box (if applicable)
- Selected the payment method you would like to use and
- Signed the form as per Section 6 'Signing instructions'.

3. Send your document to us.

You can return your form by post, fax or email according to the details below.

Send by post: Janus Henderson Australia Client Services
GPO Box 804
Melbourne VIC 3001 Australia

Fax to: Australia: 1800 238 910
Outside Australia: +61 3 9046 1903

Scan and email to: transactions.aus@janushenderson.com
Please include your investor number in the subject line of your email.

4. Transfer your application money to us.

Please refer to Section 4 'Payment of additional investment amount'.

Legal notices

Janus Henderson Investors (Australia) Funds Management Limited (ABN 43 164 177 244) (AFSL 444268) is the Responsible Entity ("Janus Henderson Australia") of each of the Funds. The Product Disclosure Statement ("PDS"), issued by Janus Henderson Australia, is a summary of significant information about the relevant Fund and contains a number of references to important information which is contained in the Additional Information Guide (if applicable) for the relevant Fund. The Additional Information Guide (if applicable) forms part of the relevant PDS and you should consider these documents together before making a decision about the Funds. You should read the PDS before completing this Additional Investment form.

Janus Henderson Australia is committed to ensuring the confidentiality and security of your personal information. We and our administrator, BNP Paribas Securities Services, and our agents handle your personal information in accordance with the Privacy Act 1988 (Cth) and Australian Privacy Principles and our respective privacy policies, which can be accessed at www.janushenderson.com/australia.

ADDITIONAL INVESTMENT FORM

Section 1. Investor details

Investor number	
Investor name	

Section 2. Investment details

Please specify the amount(s) you wish to invest.

Fund name	Minimum additional investment	Dollar amount (AUD)	Distribution option (Indicate preference with an X)	
			Reinvest	Paid to nominated bank account
Janus Henderson Australian Fixed Interest Fund	\$5,000	\$		
Janus Henderson Australian Fixed Interest Fund – Institutional	\$5,000	\$		
Janus Henderson Conservative Fixed Interest Fund	\$5,000	\$		
Janus Henderson Conservative Fixed Interest Fund – Institutional	\$5,000	\$		
Janus Henderson Cash Fund – Institutional	\$5,000	\$		
Janus Henderson Diversified Credit Fund	\$5,000	\$		
Janus Henderson Global Equity Income Fund	\$10,000	\$		
Janus Henderson Global Natural Resources Fund	\$10,000	\$		
Janus Henderson Global Multi-Strategy Fund	\$10,000	\$		
Janus Henderson Tactical Income Fund (unlisted class of units)	\$5,000	\$		

If you are an existing unit holder in the Fund(s) for which you are applying, the distribution choice above will override any pre-existing election. If you have not previously provided your bank account information, please complete a Change of details form available at www.janushenderson.com/australia. If you do not make an election and have not made one before, distributions will be reinvested.

Section 3. Other instructions


If you wish to change your other instructions (such as your reporting preferences, financial adviser information or contact details), please complete the Change of details form available from www.janushenderson.com/australia.

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Section 4. Payment of additional investment amount

Please select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

I am making my payment by:

EFT/RTGS  BPAY® Cheque Direct Debit

EFT/RTGS

Account name:	Janus Henderson Investors (Australia) Funds Management Limited
BSB:	083-001
Account number:	231600660
Your reference:	[please use the name of the investor]

BPAY

If your payment method is by BPAY, you do not need to send this Additional investment form to us.

You can make your payment using telephone or internet banking. Where a BPAY payment is made and no form is submitted, your application will receive the effective date for the day on which cleared funds are received by us subject to the dealing cut off described in the relevant PDS.

You will need to quote the biller code and your investor number (for reference) when making your payment.

Please note, if you are investing in more than one (1) Fund, you will need to make separate payments for each applicable Fund.

Fund Name	Biller Code
Janus Henderson Australian Fixed Interest Fund	29942
Janus Henderson Australian Fixed Interest Fund – Institutional	29595
Janus Henderson Conservative Fixed Interest Fund	29702
Janus Henderson Conservative Fixed Interest Fund – Institutional	29843
Janus Henderson Cash Fund – Institutional	84335
Janus Henderson Diversified Credit Fund	888214
Janus Henderson Global Equity Income Fund	191197
Janus Henderson Global Natural Resources Fund	191171
Janus Henderson Global Multi-Strategy Fund	321828
Janus Henderson Tactical Income Fund (unlisted class of units)	798678

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.

For more information, visit: www.bpay.com.au

®Registered to BPAY Pty Ltd ABN 69 079 137 518

Cheque

Please make your cheque payable to “Janus Henderson Investors (Australia) Funds Management Limited” and crossed “Not negotiable”.

Direct Debit Request

Please complete Section 5, read the ‘Direct Debit Request Service Agreement’ section on page 6, and complete Section 6.

ADDITIONAL INVESTMENT FORM

Section 5. Financial institution account details

Please provide the Australian financial institution account details in order to

- receive your distribution payments; and/or
- future withdrawal payments; and/or
- deduct your application amount directly from your nominated Australian financial institution account.

Payments will only be made to an account held in the name of the investor/s. Payments will not be made into third party accounts.

Financial institution name

Branch number

BSB number

Account number

Account name (no third party accounts)

Section 6. Signing instructions

When you apply to invest, you (the applicant) are confirming:

- you have received, read and understood the current relevant PDS (and if applicable the Additional Information Guide for the relevant fund)
- monies deposited are not associated with crime, terrorism, money laundering or terrorism financing, nor will monies, received from your account have any such association
- you are not bankrupt or a minor
- If you have elected to deduct your application amount via direct debit, you authorise and are providing a valid instruction to BNP Paribas Securities Services, in respect to your investment amount, to debit the account described in Section 5, any amount which it may debit or charge through the direct debit system in connection with you Application form, and
- you agree to be bound by the constitution of the Fund(s) and the PDS as supplemented, replaced or re-issued from time to time.

Individual: where the investment is in one (1) name, the account holder must sign.

Joint holding: where the investment is in more than one (1) name, all of the account holders must sign.

Companies: where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust: the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of attorney: if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes a Certificate of Witness and Statement of Acceptance and a Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

ADDITIONAL INVESTMENT FORM

Signature of investor 1, director or authorised signatory		[Sign here]
Please print full name		
Date	[D] [D] / [M] [M] / [Y] [Y] [Y] [Y]	
Company officer (please indicate company capacity)		
<input type="checkbox"/> Director		
<input type="checkbox"/> Sole director and company secretary		
<input type="checkbox"/> Authorised signatory		
Signature of investor 2, director/company secretary or authorised signatory		[Sign here]
Please print full name		
Date	[D] [D] / [M] [M] / [Y] [Y] [Y] [Y]	
Company officer (please indicate company capacity)		
<input type="checkbox"/> Director		
<input type="checkbox"/> Sole director and company secretary		
<input type="checkbox"/> Authorised signatory		

DIRECT DEBIT REQUEST SERVICE AGREEMENT

Valid from 28 August 2020

This is your Direct Debit Service Agreement with Janus Henderson Investors (Australia) Funds Management Limited ABN 43 164 177 244 (User ID 492440). It explains what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider. **Please keep this agreement for future reference. It forms part of the terms and conditions of your Direct Debit Request (DDR) and should be read in conjunction with your DDR authorisation.**

Definitions

Account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

Agreement means this Direct Debit Request Service Agreement between you and us.

Banking day means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

Debit payment means a particular transaction where a debit is made.

Direct debit request means the Direct Debit Request between us and you.

Us or **we** means Janus Henderson Investors (Australia) Funds Management Limited ABN 43 164 177 244 (User ID 492440) which you (the Debit User) have authorised by requesting a Direct Debit Request.

You means the customer who has signed or authorised by other means the Direct Debit Request.

Your financial institution means the financial institution nominated by you on the Direct Debit Request at which the account is maintained.

Section 1. Debiting your account

- 1.1** By signing a Direct Debit Request or by providing us with a valid instruction, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you.
- 1.2.1** If we receive your completed application form **before** 2pm, we will arrange for funds to be debited from your account on the banking day following the day we receive your completed application form.
- 1.2.2** If we receive your completed application form **after** 2pm, we will arrange for funds to be debited from your account two (2) banking days following the day we receive your completed application form.

Section 2. Your obligations

- 2.1** It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a debit payment to be made in accordance with the Direct Debit Request.
- 2.2** If there are insufficient clear funds in your account to meet a debit payment:
- a) you may be charged a fee and/or interest by your financial institution;
 - b) you may also incur fees or charges imposed or incurred by us; and
 - c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.
- 2.3** You should check your account statement to verify that the amounts debited from your account are correct.

Section 3. Dispute

- 3.1** If you believe that there has been an error in debiting your account, you should notify us directly on 1300 019 633 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly.
- 3.2** If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.
- 3.3** If we conclude as a result of our investigations that your account has not been incorrectly debited we will respond to your query by providing you with reasons and any evidence for this finding in writing.

Section 4. Accounts

You should check:

- a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by financial institutions; and
- b) your account details which you have provided to us are correct by checking them against a recent account statement.

Section 5. Confidentiality

- 5.1** We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- 5.2** We will only disclose information that we have about you:
(a) to the extent specifically required by law; or
(b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

Section 6. Notice

- If you wish to notify us in writing about anything relating to this agreement, you should write to:
- 6.1** Janus Henderson Australia Client Services
GPO Box 804
Melbourne VIC 3001
- 6.2** We will notify you by sending a notice in the ordinary post to the address we have recorded for you in our registry.
- 6.3** Any notice will be deemed to have been received on the third banking day after posting.