

INDIVIDUAL SELF-CERTIFICATION

Valid from 22 December 2020

Tax residency

Tax regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³. For further information, please see HMRC's Quick Guide: Automatic Exchange of Information – information for account holders which is available on their website at www.gov.uk.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s)⁴ in the table below. If you are also a US citizen you must include United States in this table along with your US Tax Identification Number. If you have any questions about your tax residency², please contact your tax adviser.

Country/Countries of Tax Residency	Tax Identification Number

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Henderson Investment Funds Limited immediately if any of this information changes in the future.

Name (please print in full):

Permanent Residence Address:

House Number / Street:

Town / City:

County / Region:

Postal Code:

Country:

Date of Birth (dd/mm/yyyy):

Signature:

Date (dd/mm/yyyy):

¹ The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although **different jurisdictions have different rules** in relation to tax residency. If in doubt, please contact your tax adviser.

³ Those countries that have agreed to exchange information under FATCA and the CRS¹.

⁴ You are not required to provide "Tax Identification Number" or "Date of Birth" if you are tax resident² **only** in the United Kingdom. If you are tax resident² **only** in a jurisdiction which is not participating under these tax regulations¹ you are not required to provide your "Tax Identification Number".

Data Protection

The use of the personal data you provide to us in this Form is governed by the General Data Protection Regulation (EU) 2016/679 (GDPR) and Janus Henderson's Privacy Policy. The Privacy Policy details our collection, use and processing of your personal data (including the data you provide in this form) and sets out your rights. A copy of the document is available under the Privacy Policy section of our website at www.janushenderson.com and in hard copy by sending a request to privacy@janushenderson.com.

Please return this form to Janus Henderson Investors, PO Box 9023, Chelmsford CM99 2WB.

We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes.

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