

# INDIVIDUAL SELF-CERTIFICATION

## Tax residency

Tax regulations<sup>1</sup> require us to collect certain information about each investor's tax residency<sup>4</sup>. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account(s) with the Luxembourg tax authorities who may in turn share the information with any or all participating tax jurisdictions<sup>5</sup>. For further information, please see the OECD Automatic Exchange Portal <http://www.oecd.org/tax/automatic-exchange>.

Please indicate all the countries in which you are resident for tax purposes and your associated Tax Identification Number(s)<sup>6</sup> in the table below. If you are also a US citizen you must include United States in this table along with your US Tax Identification Number. If you have any questions about your tax residency, please contact your tax adviser.

Country/Countries of Tax Residency	Tax Identification Number

**Declaration**

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Janus Henderson Investors immediately in the event the information in the self-certification changes.

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Name (please print in full):

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Permanent Residence Address:

House Number / Street:

Town / City:

County / Region:

Postal Code:

Country:

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Date of Birth (dd/mm/yyyy): Place of Birth:

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Signature:

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Date (dd/mm/yyyy):

<sup>1</sup> The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA)<sup>2</sup> and the OECD Common Reporting Standard (CRS<sup>3</sup>) for Automatic Exchange of Financial Account Information.

<sup>2</sup> FATCA. FATCA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued thereunder, as amended from time to time. FATCA regulations have been adopted in Luxembourg by the Law of 24 July 2015 ratifying the Intergovernmental agreement between United States and Luxembourg of 28 March 2014.

<sup>3</sup> CRS. Luxembourg regulations based on the OECD Common Reporting Standard.

<sup>4</sup> In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although **different jurisdictions have different rules** in relation to tax residency. If in doubt, please contact your tax adviser.

<sup>5</sup> Those countries that have agreed to exchange information under FATCA and the CRS.

<sup>6</sup> If you are tax resident only in a jurisdiction which is not a participating or reporting jurisdiction under these tax regulations you are not obliged to provide your Tax Identification Number or Date or Place of Birth.

## Data Protection

The use of the personal data you provide to us in this Form is governed by the General Data Protection Regulation (EU) 2016/679 (GDPR) and Janus Henderson's Privacy Policy. The Privacy Policy details our collection, use and processing of your personal data (including the data you provide in this form) and sets out your rights. A copy of the document is available under the Privacy Policy section of our website at [www.janushenderson.com](http://www.janushenderson.com) and in hard copy by sending a request to [privacy@janushenderson.com](mailto:privacy@janushenderson.com).

**Please return this form to Janus Henderson Investors, c/o RBC Investor Services Bank S.A., 14 Porte de France, L-4360 Esch-sur-Alzette, Grand Duchy of Luxembourg.**

We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes.

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