

# US Sustainable Equity Fund IU2 USD

Janus Henderson  
INVESTORS

## Fund facts at 31 October 2021

<p><b>Structure</b> Luxembourg SICAV</p> <p><b>Fund launch date</b> 03 August 2021</p> <p><b>Base currency</b> USD</p> <p><b>Fund size (USD)</b> 5.21m</p> <p><b>Index</b> S&amp;P 500 Index</p> <p><b>Fund managers</b> Hamish Chamberlayne, CFA Aaron Scully, CFA</p> <p><b>Share class launch date</b> 03 August 2021</p> <p><b>Minimum investment (USD)</b> 1,000,000</p> <p><b>NAV (USD)</b> 10.36</p> <p><b>Historic yield*</b> Not yet available for new share class</p> <p><b>Maximum initial charge</b> 5.00%</p> <p><b>Annual management charge (AMC)</b> 0.75% pa</p> <p><b>Ongoing charge AMC included</b> N/A</p> <p><b>Performance fee</b> N/A</p> <p><b>Codes</b> ISIN: LU2342243107 Sedol: BKPG9T4 Bloomberg: JAUSEIU LX WKN: A3CWR8</p>	<p><b>Objective and investment policy</b></p> <p>The Fund aims to provide capital growth over the long term (5 years or more) by investing in US companies whose products and services are considered by the investment manager as contributing to positive environmental or social change and thereby have an impact on the development of a sustainable global economy. The Fund invests at least 80% of its assets in a concentrated portfolio of shares (also known as equities) of US companies, of any size, in any industry. The Fund will avoid investing in companies that the investment manager considers to potentially have a negative impact on the development of a sustainable global economy. The investment manager may use derivatives (complex financial instruments) to reduce risk or to manage the Fund more efficiently. The Fund is actively managed with reference to the S&amp;P 500 Index, which is broadly representative of the companies in which it may invest, as this can provide a useful comparator for assessing the Fund's performance. The investment manager has discretion to choose investments for the Fund with weightings different to the index or not in the index, but at times the Fund may hold investments similar to the index.</p> <p><b>Additional information</b></p> <p>This is a Luxembourg SICAV Fund, regulated by the Commission de Surveillance du Secteur Financier (CSSF). Note that any differences among portfolio securities currencies, share class currencies, and your home currency will expose you to currency risk. To obtain our prospectus and any additional information please visit our website on: <a href="http://www.janushenderson.com">www.janushenderson.com</a>.</p> <table border="0"> <thead> <tr> <th style="text-align: left;">Top 10 holdings</th> <th style="text-align: right;">(%)</th> <th style="text-align: left;">Country breakdown</th> <th style="text-align: right;">(%)</th> </tr> </thead> <tbody> <tr> <td>Microsoft</td> <td style="text-align: right;">6.5</td> <td>United States</td> <td style="text-align: right;">90.9</td> </tr> <tr> <td>NVIDIA</td> <td style="text-align: right;">4.2</td> <td>Ireland</td> <td style="text-align: right;">3.2</td> </tr> <tr> <td>Humana</td> <td style="text-align: right;">3.8</td> <td>Australia</td> <td style="text-align: right;">1.3</td> </tr> <tr> <td>Autodesk</td> <td style="text-align: right;">3.7</td> <td>Cash</td> <td style="text-align: right;">2.8</td> </tr> <tr> <td>Adobe</td> <td style="text-align: right;">3.4</td> <td></td> <td></td> </tr> <tr> <td>ICON</td> <td style="text-align: right;">3.2</td> <td></td> <td></td> </tr> <tr> <td>Keysight Technologies</td> <td style="text-align: right;">2.9</td> <td></td> <td></td> </tr> <tr> <td>Aptiv</td> <td style="text-align: right;">2.9</td> <td></td> <td></td> </tr> <tr> <td>Evoqua Water Technologies</td> <td style="text-align: right;">2.9</td> <td></td> <td></td> </tr> <tr> <td>Marsh &amp; McLennan Cos</td> <td style="text-align: right;">2.6</td> <td></td> <td></td> </tr> <tr> <td><b>Total number of positions</b></td> <td style="text-align: right;"><b>48</b></td> <td></td> <td></td> </tr> </tbody> </table> <table border="0"> <thead> <tr> <th style="text-align: left;">Performance</th> <th style="text-align: left;">Sector breakdown</th> <th style="text-align: right;">(%)</th> </tr> </thead> <tbody> <tr> <td>Due to current regulations we are only permitted to show performance if the share class is more than one year old.</td> <td>Information Technology</td> <td style="text-align: right;">43.1</td> </tr> <tr> <td></td> <td>Health Care</td> <td style="text-align: right;">18.4</td> </tr> <tr> <td></td> <td>Industrials</td> <td style="text-align: right;">11.1</td> </tr> <tr> <td></td> <td>Financials</td> <td style="text-align: right;">9.4</td> </tr> <tr> <td></td> <td>Consumer Discretionary</td> <td style="text-align: right;">7.0</td> </tr> <tr> <td></td> <td>Real Estate</td> <td style="text-align: right;">4.8</td> </tr> <tr> <td></td> <td>Cash</td> <td style="text-align: right;">2.8</td> </tr> <tr> <td></td> <td>Materials</td> <td style="text-align: right;">1.4</td> </tr> <tr> <td></td> <td>Communication Services</td> <td style="text-align: right;">1.0</td> </tr> <tr> <td></td> <td>Consumer Staples</td> <td style="text-align: right;">0.9</td> </tr> </tbody> </table>	Top 10 holdings	(%)	Country breakdown	(%)	Microsoft	6.5	United States	90.9	NVIDIA	4.2	Ireland	3.2	Humana	3.8	Australia	1.3	Autodesk	3.7	Cash	2.8	Adobe	3.4			ICON	3.2			Keysight Technologies	2.9			Aptiv	2.9			Evoqua Water Technologies	2.9			Marsh & McLennan Cos	2.6			<b>Total number of positions</b>	<b>48</b>			Performance	Sector breakdown	(%)	Due to current regulations we are only permitted to show performance if the share class is more than one year old.	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(continued)

## What are the risks specific to this fund?

- The Fund could lose money if a counterparty with which the Fund trades becomes unwilling or unable to meet its obligations, or as a result of failure or delay in operational processes or the failure of a third party provider.
- Shares can lose value rapidly, and typically involve higher risks than bonds or money market instruments. The value of your investment may fall as a result.
- Shares of small and mid-size companies can be more volatile than shares of larger companies, and at times it may be difficult to value or to sell shares at desired times and prices, increasing the risk of losses.
- If a Fund has a high exposure to a particular country or geographical region it carries a higher level of risk than a Fund which is more broadly diversified.
- This Fund may have a particularly concentrated portfolio relative to its investment universe or other funds in its sector. An adverse event impacting even a small number of holdings could create significant volatility or losses for the Fund.
- The Fund follows a sustainable investment approach, which may cause it to be overweight and/or underweight in certain sectors and thus perform differently than funds that have a similar objective but which do not integrate sustainable investment criteria when selecting securities.
- The Fund may use derivatives with the aim of reducing risk or managing the portfolio more efficiently. However this introduces other risks, in particular, that a derivative counterparty may not meet its contractual obligations.
- If the Fund holds assets in currencies other than the base currency of the Fund or you invest in a share class of a different currency to the Fund (unless 'hedged'), the value of your investment may be impacted by changes in exchange rates.

## General risks

- Past performance is not a guide to future performance.
- The value of an investment and the income from it can fall as well as rise and you may not get back the amount originally invested.
- Tax assumptions and reliefs depend upon an investor's particular circumstances and may be subject to change.

For further information please visit our website at [www.janus Henderson.com](http://www.janus Henderson.com)

## Important information

**In accordance with the Sustainable Finance Disclosure Regulation, the Fund is classified as Article 9 and has sustainability as its objective.** All ongoing charges stated are as per latest published report and accounts. \* Yields are shown net except for bond funds which are shown gross. The Historical Yield reflects distributions declared over the past 12 months as a percentage of the midmarket share price, at the date shown. It does not include any preliminary charge and investors may be subject to tax on their distributions. ~ Ongoing charges AMC included data is not available for newly launched share classes. Please note: due to rounding the figures in the holdings breakdowns may not add up to 100%.

Any investment application will be made solely on the basis of the information contained in the Fund's prospectus (including all relevant covering documents), which will contain investment restrictions. This communication has promotional purposes and is intended as a summary only and potential investors must read the Fund's prospectus and key investor information document before investing. Information is provided on the Fund on the strict understanding that it is to - or for clients resident outside the USA. A copy of the Fund's prospectus and key investor information document can be obtained from Henderson Global Investors Limited in its capacity as Investment Manager and Distributor. Nothing in this communication is intended to or should be construed as advice. This communication does not constitute an offer or a recommendation to sell or purchase any investment. It does not form part of any contract for the sale or purchase of any investment. The performance data does not take into account the commissions and costs incurred on the issue and redemption of units. Deductions for charges and expenses are not made uniformly throughout the life of the investment but may be loaded disproportionately at subscription. If you withdraw from an investment up to 90 calendar days after subscribing you may be charged a Trading Fee as set out in the Fund's prospectus. This may impact the amount of money which you will receive and you may not get back the amount invested. The value of an investment and the income from it can fall as well as rise significantly. Some Sub-Funds of the Fund can be subject to increased volatility due to the composition of their respective portfolios. Tax assumptions and reliefs depend upon an investor's particular circumstances and may change if those circumstances or the law change. If you invest through a third party provider you are advised to consult them directly as charges, performance and terms and conditions may differ materially. The Fund is a recognised collective investment scheme for the purpose of promotion into the United Kingdom. Potential investors in the United Kingdom are advised that all, or most, of the protections afforded by the United Kingdom regulatory system will not apply to an investment in the Fund and that compensation will not be available under the United Kingdom Financial Services Compensation Scheme. The Fund is a foreign collective investment scheme registered in the Netherlands with the Authority for the Financial Markets and in Spain with the CNMV with the number 353. A list of distributors is available at [www.cnmv.es](http://www.cnmv.es) The Custodian in Spain is BNP PARIBAS SECURITIES SERVICES S.C.A. We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes. The Janus Henderson Horizon Fund (the "Fund") is a Luxembourg SICAV incorporated on 30 May 1985, managed by Henderson Management S.A. Issued by Janus Henderson Investors. Janus Henderson Investors is the name under which investment products and services are provided by Janus Capital International Limited (reg no. 3594615), Henderson Global Investors Limited (reg. no. 906355), Henderson Investment Funds Limited (reg. no. 2678531), Henderson Equity Partners Limited (reg. no.2606646), (each registered in England and Wales at 201 Bishopsgate, London EC2M 3AE and regulated by the Financial Conduct Authority) and Henderson Management S.A. (reg no. B22848 at 2 Rue de Bitbourg, L-1273, Luxembourg and regulated by the Commission de Surveillance du Secteur Financier). 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