

# DEALING FORM INSTITUTIONAL SHARE CLASS

EXTERNAL CODE: KIID

**Investors are required to have read the latest version of the relevant Key Investor Information Document (the "KIID") prior to placing a subscription. These documents are available from [www.janushenderson.com](http://www.janushenderson.com).**

**Please complete this form in BLOCK CAPITALS and return to:**

Henderson Horizon Fund, c/o RBC Investor Services Bank S.A., 14, Porte de France, L-4360 Esch-sur-Alzette, Grand Duchy of Luxembourg  
Tel: +352 2605 9601  
Fax: +352 2460 9937

Please tick the box (as appropriate) to indicate whether you are an existing investor in the Henderson Horizon Fund (the "Fund"):

Yes  No  If yes, please provide your Account Number

If no, please complete the Application Form (for Corporate Investors)

Company name (for Corporate Investors)

## SECTION 1 – Investment choice

**The Henderson Horizon Fund provides various sub-classes of shares.**

B shares	Institutional share class (European Growth Fund only).
I and IU shares	Institutional share class.
Distribution shares (1)+(3)	Distributing shares that pay out dividends, if any, on a regular basis.
Accumulation shares (2)	Non-distributing shares that do not pay out dividends or interest. Any dividends arising from the underlying securities are retained in the fund.

The minimum subscription amount in each fund is US\$1,000,000 or the equivalent in the payment currency. The minimum subsequent subscription amount for each fund is US\$100,000 or the equivalent in the payment currency.

Please refer to the current Henderson Horizon Fund Prospectus (the "Prospectus") for further information.

Please complete Appendix 1, Investment Choice.

### Unhedged Share Classes

If the currency of payment is not specified, it will be assumed that payment will be made in the currency in which the share class is denominated. Shares may be bought in any major currency accepted by the Registrar and Transfer Agent. However, if the currency of investment is different from the currency detailed in Appendix I, Investment Choice for the relevant share class, the necessary currency conversion will be arranged on behalf of, and at the risk and expense of, the applicant.

### Hedged Share Classes

Payment should be made in the currency in which the share class is denominated.

Please refer to the current Prospectus for further information on the unhedged and hedged share classes and on the base currency of the funds.

Applications for shares will only be accepted either by telephone, or fax or in writing (for authorised parties). Applications for shares via telephone dealing is only available to existing investors who have previously been issued with a register number by the Registrar and Transfer Agent. The Fund will not accept unsolicited receipts of cash for either new or existing customers.

Daily dealing cut off is 1.00pm Luxembourg time on any Business Day. For deals placed after this deadline, deals will be processed on the next Business Day after receipt. Trades will only be placed once an account has been opened i.e. a completed Application Form and all necessary Know Your Client/Anti-Money Laundering documentation has been received. Please be advised that if no Anti-Money Laundering documentation is held for you, your redemption proceeds will be delayed until such verification is received.

## SECTION 2

For **subscriptions**: settlement must be made by electronic funds transfer within three business days of the dealing day and settlement for redemptions will normally be made within three business days of the dealing day, save for the Global Multi-Asset Fund.

For the Global Multi-Asset Fund, settlement must be made by electronic funds transfer within four business days of the dealing day and settlement for redemptions will normally be made within four business days of the dealing day.

Where the settlement date is not a bank business day in Luxembourg or another financial centre affecting the movement of the relevant currency, the settlement date shall be the following bank business day in those financial centres.

For **redemptions**: electronic transfer is the method of payment. Please complete the details below:

Amount currency		
Bank name		
Street/No		
Postcode	City	Country
BIC/SWIFT code	<input type="text"/>	<input type="text"/>
and/or National Bank Code		
(ex.BLZ, sort code...)		
Account number (IBAN if existing)*		
Account holder name**		

Should payment in cleared funds not be received by the due settlement date, the Company will reserve the right to cancel the allotment of shares subscribed for, cancel the contract without compensation and/or pass on the costs of such cancellation, and where applicable, charge interest at market rates.

- If settling through Clearstream# or Euroclear# the account number is 10096.
- Investors should note that any transfer to be settled through Euroclear# must be in respect of a whole number of Shares. Transactions settled through Clearstream# or Vestima or FundSettle may be in relation to a fraction of a Share.
- Unless the Investor specifies a required number of whole Shares in Appendix 1 - Investment choice overleaf, purchases will be rounded down to the nearest whole number of Shares and redemptions will be rounded up to the nearest whole number of Shares.

\* Please note that an IBAN must be provided if your account is in Euro.

\*\* Please note that third party payments are not accepted (except if the investor is a minor – in this case, payment can be made by the guardian).

# Clearstream includes Vestima, Euroclear includes FundSettle.

## SECTION 3 – Payment instructions

Please find below details of the correspondent banks:

**Euro** Bank of America N.A.  
Neue Mainzer Strasse 52  
60311 Frankfurt am Main  
Germany  
SWIFT code: BOFADEFX  
A/c No. (IBAN) DE74500109000020217012  
A/c Name: Henderson Horizon Fund SICAV - Collection

**US Dollars** Bank of America N.A.  
222 Broadway  
New York, NY 10038  
USA  
SWIFT code: BOFAUS3N  
A/c No. 6550562142  
ABA Routing Code: 026009593  
CHIPS: 959  
A/c Name: Henderson Horizon Fund SICAV – Collection

**Japanese Yen** Bank of America N.A.  
Nihonbashi 1-Chome Building  
1-4-1 Nihonbashi Chuo-Ku  
Tokyo 103-0027, Japan  
SWIFT code: BOFAJPJX  
A/c No. 22855013  
A/c Name: Henderson Horizon Fund SICAV - Collection

**Sterling –  
via SWIFT** Bank of America N.A.  
2 King Edward Street  
London, EC1A 1HQ  
UK  
BIC code: BOFAGB22  
A/c No. (IBAN) GB76BOFA16505059511016  
A/c Name: Henderson Horizon Fund SICAV - Collection

**Sterling –  
via CHAPS** A/c No. 59511016  
Sort code: 16-50-50  
A/c Name: Henderson Horizon Fund SICAV - Collection

**Singapore Dollars** Bank of America N.A.  
Oue Bayfront 14-01 50  
Collyer Quay  
Singapore 049321  
SWIFT code: BOFASG2X  
A/c No. 59760011  
A/c Name: Henderson Horizon Fund SICAV - Collection

**Hong Kong Dollars** Bank of America N.A.  
Two International Finance Centre, Floor 42  
8 Finance Street  
Central Hong Kong  
SWIFT code: BOFAHKHX  
A/c No. 89601012  
A/c Name: Henderson Horizon Fund SICAV - Collection

**Swedish Krona** Bank of America N.A.  
2 King Edward Street  
London, EC1A 1HQ  
UK  
SWIFT code: BOFAGB22  
A/c No. (IBAN) GB10BOFA16505059511040  
A/c Name: Henderson Horizon Fund SICAV – Collection

**Swiss Franc  
Branch** Bank of America, National Association, Charlotte, Zurich  
Stockerhof,  
Stockerstrasse 23  
8002 Zurich  
Switzerland  
SWIFT code: BOFACH2X  
A/c No. (IBAN) CH9708726000088036011  
A/c Name: Henderson Horizon Fund SICAV - Collection

## SECTION 4 – Authorised signatories

Please sign investor's signatory. If further signatures are required, please sign in the blank space below.

Investor's signatory	Investor's signatory
Signatory's name	Signatory's name
Date	Date

### Declaration

The applicant certifies that the acknowledgements, representations and warranties made and given in the original applications continue to be true and accurate with respect to the transactions specified above.

- I/We acknowledge that the Prospectus and KIID can be obtained from the website [www.janushenderson.com](http://www.janushenderson.com). The KIID can be accessed by logging on to the website, selecting your geographical region and investor type and then accessing the Literature Library.
- I/We acknowledge that I/we have been notified of the address of the website and the place on the website where the information may be accessed.
- I/We consent to being provided with the KIIDs in this form via the website and not addressed to me/us personally.
- I/We further confirm that the medium of a website is appropriate in the context of the business between me/us and Henderson Horizon Fund.
- I/We confirm that, once KIIDs are available, I/we will ensure that I/we have read the latest version of each relevant KIID prior to submitting every investment application.

Henderson Horizon Fund is an open ended investment company incorporated in Luxembourg as a société d'investissement à capital variable (SICAV) on 30 May 1985 and is a recognised collective investment scheme for the purposes of promotion into the UK. Potential investors in the United Kingdom are advised that all, or most, of the protections afforded by the United Kingdom regulatory system will not apply to an investment in the Fund and that compensation will not be available under the United Kingdom Financial Services Compensation Scheme.

**By subscription or purchase of shares, you accept that the entries in the register of shareholders may be made available to other companies within the Janus Henderson Group, for the purposes of providing enhanced shareholder related services.**

**Please note we will only accept authorised signatories as detailed on the client file.**

## Appendix 1 – Investment choice

Please tick the boxes below and write the amounts you wish to invest in each fund.

I1, I3, IU1 and IU3 = Distribution Shares I2 and IU2 = Accumulation Shares B = Distribution Shares

REGIONAL FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>†</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
Asian Dividend Income Fund	I2 USD	LU0264606467			<input type="checkbox"/>	<input type="checkbox"/>
	I3 GBP	LU0277002928			<input type="checkbox"/>	<input type="checkbox"/>
Euroland Fund	I1 EUR	LU0209157659			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0196034820			<input type="checkbox"/>	<input type="checkbox"/>
	I2 GBP (hedged)	LU1291885702			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU1183228433			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD	LU1626188814			<input type="checkbox"/>	<input type="checkbox"/>
European Growth Fund	B1* EUR	LU0504466110			<input type="checkbox"/>	<input type="checkbox"/>
	I1 EUR	LU0503932757			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0503932674			<input type="checkbox"/>	<input type="checkbox"/>
Japan Opportunities Fund	I2 USD	LU0196035983			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU1316542510			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Dividend Income Fund	IU3 EUR	LU1314336626			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Equity Fund	I2 EUR	LU0196036957			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD	LU0642279474			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU0978624517			<input type="checkbox"/>	<input type="checkbox"/>
US Growth Fund	I2 USD	LU0196036874			<input type="checkbox"/>	<input type="checkbox"/>
SPECIALIST FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>†</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
Asia-Pacific Property Equities Fund	I2 USD	LU0229495352			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0572943370			<input type="checkbox"/>	<input type="checkbox"/>
China Fund	I2 USD	LU0327787049			<input type="checkbox"/>	<input type="checkbox"/>
Global Equity Income Fund	I3 USD	LU1059380631			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD	LU1059380714			<input type="checkbox"/>	<input type="checkbox"/>
Global Multi-Asset Fund	IU2 EUR (hedged)	LU1253842261			<input type="checkbox"/>	<input type="checkbox"/>
	IU2 USD (hedged)	LU1253842774			<input type="checkbox"/>	<input type="checkbox"/>
Global Natural Resources Fund	I2 EUR	LU1073139666			<input type="checkbox"/>	<input type="checkbox"/>
	I2 GBP	LU1120392524			<input type="checkbox"/>	<input type="checkbox"/>
Global Property Equities Fund	I2 USD	LU0209137628			<input type="checkbox"/>	<input type="checkbox"/>
	I3 EUR	LU0572951639			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU0642270333			<input type="checkbox"/>	<input type="checkbox"/>
Global Technology Fund	I1 USD	LU0209158541			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD	LU0196035553			<input type="checkbox"/>	<input type="checkbox"/>
	I1 GBP	LU0572953684			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU0642272388			<input type="checkbox"/>	<input type="checkbox"/>
Japanese Smaller Companies Fund	I2 USD	LU0196036015			<input type="checkbox"/>	<input type="checkbox"/>
	I2 SGD	LU1354345586			<input type="checkbox"/>	<input type="checkbox"/>
	I2 JPY	LU1368736440			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU1572379003			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU1446762467			<input type="checkbox"/>	<input type="checkbox"/>
	I2 SGD (hedged)	LU1456297057			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Alpha Fund	I2 GBP (hedged)	LU0506198000			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0264598268			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU0506198265			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Property Equities Fund	I2 EUR	LU0196034317			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU1301506678			<input type="checkbox"/>	<input type="checkbox"/>

\* Class B shares are closed to investments from new investors.

<sup>†</sup> If different from share class currency.

SPECIALIST FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>f</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
Pan European Smaller Companies Fund	I1 EUR	LU0209157493			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0196034663			<input type="checkbox"/>	<input type="checkbox"/>
BOND FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>f</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
Emerging Market Corporate Bond Fund	I2 USD	LU1120394819			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU1120395626			<input type="checkbox"/>	<input type="checkbox"/>
Euro Corporate Bond Fund	I3 EUR	LU0451950660			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR	LU0451950587			<input type="checkbox"/>	<input type="checkbox"/>
	I2 CHF (hedged)	LU0642281702			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU0892276071			<input type="checkbox"/>	<input type="checkbox"/>
	I3 USD	LU0572949575			<input type="checkbox"/>	<input type="checkbox"/>
Euro High Yield Bond Fund	I2 EUR	LU0828818087			<input type="checkbox"/>	<input type="checkbox"/>
	I3 USD (hedged)	LU1627921569			<input type="checkbox"/>	<input type="checkbox"/>
	I2 USD (hedged)	LU0828818913			<input type="checkbox"/>	<input type="checkbox"/>
Global Corporate Bond Fund	I2 USD	LU1120393092			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU1120393845			<input type="checkbox"/>	<input type="checkbox"/>
Global High Yield Bond Fund	I2 USD	LU0978624350			<input type="checkbox"/>	<input type="checkbox"/>
	I2 EUR (hedged)	LU0978624434			<input type="checkbox"/>	<input type="checkbox"/>

**Janus Henderson**  
INVESTORS

Janus Henderson Investors is the name under which Henderson Global Investors Limited (reg. no. 906355), Henderson Fund Management Limited (reg. no. 2607112), Henderson Investment Funds Limited (reg. no. 2678531), Henderson Investment Management Limited (reg. no. 1795354), AlphaGen Capital Limited (reg. no. 962757), Henderson Equity Partners Limited (reg. no. 2606646), Gartmore Investment Limited (reg. no. 1508030), each incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London EC2M 3AE are authorised and regulated by the Financial Conduct Authority to provide investment products and services. Telephone calls may be recorded and monitored.

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