Valid from 30 September 2026

Janus Henderson INVESTORS

APPLICATION FORM

This Application Form relates to the application for Janus Henderson Funds (Funds) under the PDS issued by Janus Henderson Investors (Australia) Funds Management Limited (ABN 43 164 177 244, AFSL 444268). This Application Form must be accompanied by the PDS when provided to any person. Unless otherwise defined, capitalised terms in this Application Form have the meaning given to them in the PDS.

Please use this Application form if this is your first application for units in the relevant Fund/s. If you wish to add to your existing investment in a Fund, you should use the Additional investment form available at www.janushenderson.com/australia.

Janus Henderson Funds ("the Funds")

Fund name	ARSN	APIR	BPAY® Biller Code	Minimum initial investment and holding balance
Janus Henderson Australian Fixed Interest Fund	087 719 739	IOF0046AU	29942	$25,000
Janus Henderson Australian Fixed Interest Fund – Institutional	100 098 413	IOF0113AU	29595	$100,000
Janus Henderson Conservative Fixed Interest Fund	087 720 401	IOF0047AU	29702	$25,000
Janus Henderson Conservative Fixed Interest Fund – Institutional	100 098 271	IOF0111AU	29843	$100,000
Janus Henderson Cash Fund – Institutional	127 731 006	IOF0141AU	84335	$100,000
Janus Henderson Diversified Credit Fund	127 727 431	IOF0127AU	888214	$25,000
Janus Henderson Emerging Markets Equity Fund	666 830 628	HGI5468AU	412122	$25,000
Janus Henderson Global Natural Resources Fund	156 355 743	ETL0331AU	191171	$25,000
Janus Henderson Global Multi-Strategy Fund*	640 241 943	HGI4648AU	321828	$25,000
Janus Henderson Global Multi-Strategy Fund – Institutional*	640 241 943	HGI1794AU	Not available	$5,000,000
Janus Henderson Global Research-Engineered Equity Fund	699 462 112	HGI9032AU	498238	$25,000
Janus Henderson Global Smaller Companies Fund	689 304 498	HGI9978AU	476911	$25,000
Janus Henderson Global Smaller Companies Fund - Institutional	689 304 498	HGI2731AU	Not available	$20,000,000
Janus Henderson Global Sustainable Equity Fund (unlisted retail class of units)	651 993 118	HGI7127AU	354514	$25,000
Janus Henderson Global Sustainable Equity Fund (unlisted institutional class of units)	651 993 118	HGI8446AU	Not available	$5,000,000
Janus Henderson Sustainable Credit Fund (unlisted class of units)	662 889 214	HGI3747AU	399295	$25,000
Janus Henderson Tactical Income Fund (unlisted retail class of units)	130 944 866	IOF0145AU	798678	$25,000
Janus Henderson Tactical Income Fund (unlisted institutional class of units)	130 944 866	HGI4188AU	Not available	$20,000,000

*Section 6 of the application form must be completed.

HOW TO INVEST

Steps to invest

1	Read the Product Disclosure Statement and Additional Information Guide.
2	Consider consulting your financial adviser, stockbroker or other professional advisers before deciding whether to invest.
3	Print and complete the Application form.
4	Send the completed Application form to: Janus Henderson Australia Client Services, GPO Box 804, Melbourne VIC 3001, Australia. Arrange for application payment via one of the options detailed in section 5 of this form.

Legal notices

Janus Henderson Investors (Australia) Funds Management Limited (ABN 43 164 177 244) (AFSL 444268) is the Responsible Entity ("Janus Henderson Australia") of each of the Funds. The Product Disclosure Statement ("PDS"), issued by Janus Henderson Australia, is a summary of significant information about the relevant Fund and contains a number of references to important information which is contained in the Additional Information Guide (if applicable) for the relevant Fund. The Additional Information Guide (if applicable) forms part of the relevant PDS and you should consider these documents together before making a decision about the Funds. You should read the PDS before completing this Application form. This Application form must accompany each PDS for the Funds. Persons who receive a copy of the PDS in electronic form are entitled to request paper copies of the PDS and this Application form free of charge by contacting Janus Henderson Australia.

Janus Henderson Australia is committed to ensuring the confidentiality and security of your personal information. We and our administrator, BNP Paribas, and our agents handle your personal information in accordance with the Privacy Act 1988 (Cth) and Australian Privacy Principles and our respective privacy policies, which can be accessed at www.janushenderson.com/australia.

Please use black pen and print in CAPITAL LETTERS and ☑ where appropriate

1. Contact details

Please enter contact details in case we need to contact you in relation to your application. Please note if you have a financial adviser we will contact them in the first instance.

Title	
Name	
Phone	
Email	

Postal address

SUBURB STATE POSTCODE

Preferred contact method: email ☐ post ☐

Are you an existing investor with Janus Henderson Australia?

☐ **Yes,** my investor number is:

If you are an existing investor in another Fund and you are investing in the Fund under the same name, or you are adding to an investment in the Fund, you only need to complete sections **3**, **5** and **9** of this Application Form.

☐ **No,** or I am investing in the Fund under a different name. You need to complete all sections of this Application Form and complete the **Identification Form.**

2. New investor (or existing investor under a different name)

Please select the type of investor and complete the relevant **Identification Form** sections as noted.

Please select the source and origin of funds being invested:

- ☐ savings
- ☐ investment
- ☐ superannuation contributions
- ☐ commission
- ☐ donation/gift
- ☐ inheritance
- ☐ normal course of business
- ☐ asset sale **OR**
- ☐ other – write the source and origin of funds below:

☐ **Individual/joint holding** Please complete section **A** for your details and those of any joint holders. **A**

☐ **Australian company** Please complete section **A** for details of all company beneficial owner who hold 25% or greater ownership interest AND section **B** for details of the company. **AB**

Note: if you are a company acting in the capacity of a trustee, eg a custodian, please also complete section C.

☐ **SMSF/trust - individual trustee** Please complete section **A** for details of the trustees AND section **C** for details of the SMSF or trust. **AC**

☐ **SMSF/trust - corporate trustees** Please complete section **A** for details of all company beneficial owner who hold 25% or greater ownership interest AND section **B** for details of the company acting as trustee AND section **C** for details of the trust. **ABC**

☐ **Sole trader** Please complete section **A** for your details AND section **B** for details of the company. **AB**

☐ **Other** For other types of investors there may be additional requirements and information for us to collect. Please contact us if none of the above are applicable.

A Individual investor, first joint investor, first trustee, sole trader or first beneficial owner[1].

Title	
Given name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y

Residential address

SUBURB STATE POSTCODE

Occupation or principal business activity

Are you an Australian resident for tax purposes[2]?

☐ Yes ☐ No, if no specify country:

TFN or exemption Provide to ensure tax is not deducted

Second joint investor, second trustee, or second beneficial owner[1]

Title	
Given name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y

Residential address ☐ Same as A, or specify below

SUBURB STATE POSTCODE

Occupation or principal business activity

Are you an Australian resident for tax purposes[2]?

☐ Yes ☐ No, if no specify country:

TFN or exemption Provide to ensure tax is not deducted

Third trustee, or third beneficial owner[1]

Title	
Given name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y

Residential address ☐ Same as A, or specify below

SUBURB STATE POSTCODE

Occupation or principal business activity

Fourth trustee, or fourth beneficial owner[1]

Title	
Given name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y

Residential address ☐ Same as A, or specify below

SUBURB STATE POSTCODE

Occupation or principal business activity

[1]All beneficial owners of a company, or trust, who hold an ownership interest of 25% or greater are required to complete.

[2]Not applicable for trustees or beneficial owners.

B Australian company, corporate trustee or sole trader

Full company name or full business name	
ACN or ABN	
TFN	
Date of birth (for sole traders only)	D D / M M / Y Y Y Y

Registered office address (not a PO Box)

SUBURB STATE POSTCODE

Principal place of business address (if different)

SUBURB STATE POSTCODE

Principal business activity

Are you acting in the capacity of trustee (eg custodian, or MDA / IDPS operator)?

☐ Yes ☐ No

If no, proceed to section C.

If yes, please respond to the following:

a. Do you provide a custodial or depository service of the kind described in item 46 of table 1 in subsection 6(2) of the AML / CTF Act 2006 (Cth)? (ie to the underlying investor not your related body corporate)

☐ Yes ☐ No

b. Do you hold an AFSL or are you exempt from the requirement to hold such license? If yes, provide your AFSL number or specify the grounds for exemption.

Yes ☐☐☐☐☐☐

No

c. Are you enrolled as a reporting entity with AUSTRAC, or do you satisfy one of the 'geographical link' tests in subsection 6(6) of the AML / CTF Act?

☐ Yes ☐ No

d. Have you carried out all applicable customer identification procedures (ACIP) and ongoing due diligence (OCDD) requirements in accordance with chapter 15 of the AML / CFT rules in relation to your underlying customers? (including when you have relied on a member of your designated business group or an authorised representative to perform the ACIP and OCDD)

☐ Yes ☐ No

Please now proceed to section C to complete the trust details.

C SMSF or trust

Full name of the trustee	
SMSF or trust name	
ABN, TFN or ARSN	
Type of trust	
Country in which trust was established	
Full name of settlor of trust (if any)	

For unregulated trusts only, please provide the names of any beneficiaries of the unregulated trust not already detailed in section A on page 2.

Given name (s)	Surname

3. Investment details

Minimum balance and minimum invested amount are noted on page 1. Payment details are described in **section 5** of this Application Form.

Fund name	Investment amount	Reinvest distribution	Cash distribution
Janus Henderson Australian Fixed Interest Fund		☐	☐
Janus Henderson Australian Fixed Interest Fund – Institutional		☐	☐
Janus Henderson Conservative Fixed Interest Fund		☐	☐
Janus Henderson Conservative Fixed Interest Fund – Institutional		☐	☐
Janus Henderson Cash Fund – Institutional		☐	☐
Janus Henderson Diversified Credit Fund		☐	☐
Janus Henderson Emerging Markets Equity Fund		☐	☐
Janus Henderson Global Natural Resources Fund		☐	☐
Janus Henderson Global Multi-Strategy Fund		☐	☐
Janus Henderson Global Multi-Strategy Fund – Institutional		☐	☐
Janus Henderson Global Research-Engineered Equity Fund		☐	☐
Janus Henderson Global Smaller Companies Fund		☐	☐
Janus Henderson Global Smaller Companies Fund - Institutional		☐	☐
Janus Henderson Global Sustainable Equity Fund (unlisted retail class of units)		☐	☐
Janus Henderson Global Sustainable Equity Fund (unlisted institutional class of units)		☐	☐
Janus Henderson Sustainable Credit Fund (unlisted class of units)		☐	☐
Janus Henderson Tactical Income Fund (unlisted retail class of units)		☐	☐
Janus Henderson Tactical Income Fund (unlisted institutional class of units)		☐	☐

4. Your banking details

Please nominate the bank, building society or credit union account that you would like your distribution and any other payments to be paid to. The account must be held in the name of the Applicant.

Name of financial institution	
Account name	
BSB number	
Account number	

5. Application payment details

These details are required so your payment can be matched to your Application Form. Please indicate which payment method you have used.

I am paying by (please choose one method only):

☐ **BPAY®**

Please refer to the Fund table on page 1 to find the BPAY Biller Code for the fund you wish to invest in.

Please contact Client services on 1300 019 633 to request a BPAY® reference number and ensure the reference number is included here.

Biller Code: <XXXX>
Ref: <XXXX XXXX XXXX>

Telephone & Internet Banking – BPAY®
Contact your bank or financial institution to make this payment from your savings, debit or transaction account. More info: www.bpay.com.au

☐ **Electronic funds transfer**
Please transfer funds electronically to the following account and send your completed Application Form to:
Account name: **Janus Henderson Investors (Australia) Funds Management Limited**

BSB: **083001** Account number: **231600660**

Reference:
(Name of Applicant):

It is important to include your **name as reference**. If we are unable to match your application to a payment, your application may be delayed.

☐ **Direct debit**
Please complete section 4 and refer to the Direct Debit Request Service Agreement for us to debit your nominated bank account for your Application Amount (within three Business Days of receipt of your forms).

6. Adviser details

If you use a financial adviser and/or your financial adviser is providing us with your identification documents, please have them complete, sign and stamp this section to confirm they hold a current AFSL and are authorised to deal in or advise on managed investment products.

Adviser name	
Adviser company	
Dealer group name	
Adviser email	
Adviser phone	
AFSL name	
AFSL number	
Authorised representative number	

Adviser business address

SUBURB STATE POSTCODE

Adviser signature and stamp

7. Tax information

This section is mandatory for all Investors, EXCEPT those investing via an SMSF or other regulated superannuation fund.

Any person, company or trust that:
➤ is an individual or joint investor, company or trust; or
➤ exercises control over an Applicant company; or
➤ is a trustee, beneficiary or settlor of an Applicant trust,
MUST complete this section.

7.1 Are you a United States (US) citizen, or resident for tax purposes of any country other than Australia?

☐ **No,** proceed to question 7.2

☐ **Yes,** you MUST complete this section for each individual

Applicant	Country of tax residence	Tax identification number (TIN)	Reason for no TIN
1.			
2.			
3.			
4.			

7.2 Are you a 'financial institution'?

Financial Institution is a broadly defined term. Details provided in 'HELP: What is a financial institution?' information box

☐	**No** ➤ Proceed to **question 7.4**
☐	**Yes**, please provide your GIIN below ➤ Proceed to **question 7.3** Please refer to 'HELP: What is a GIIN?' information box
GIIN	

If you selected YES but did not provide a GIIN, please select an exemption below
➤ Proceed to the following **question 7.3**

☐	Excepted Foreign Financial Institution
☐	Deemed Compliant Financial Institution
☐	Exempt Beneficial Owner
☐	Non-participating IGA Financial Institution
☐	Non-reporting IGA Financial Institution
☐	US Financial Institution
☐	Other (describe below)

7.3 Is the financial institution an investment entity located in a non-participating CRS jurisdiction and managed by another financial institution?

☐	**Yes** ➤ Please provide **controlling persons details** on page 5
☐	**No** ➤ Proceed to **section 8** (Portfolio online)

HELP: What is a Taxpayer Identification Number (TIN)?
Taxpayer Identification Number This is an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US Employer Identification Number. In other countries, it may have a different name.

HELP: No TIN?
Reasons for not providing a TIN include:
1. The country of my residency does not issue TINs.
2. I have asked for a TIN, but have not yet received the details.
   Please inform us once you have received your TIN.
3. The laws of country of my residency do not require me to disclose my TIN.
4. I have an exemption under the laws of the country of my residency from holding a TIN.

Please provide details.

HELP: What is a financial institution?
This includes:

- **an investment entity:** Any entity that conducts certain activities or operations for or on behalf of a customer, including:
  - 'trading in money market instruments' and other relevant instruments
  - 'individual and collective portfolio management'
  - 'investing, administering, or managing funds or money on behalf of other persons'.

  However, any trading, investing, administering or managing of **financial assets** on behalf of other persons must be done **as a business**. Note that **financial assets** does not include direct interests in real property.

  An entity is also an investment entity if it is managed by another entity that is an investment entity.

  An investment entity is generally only capable of including a trust if the trust's gross income is primarily attributable to investing, reinvesting, or trading in financial assets and the trust is managed by an entity that is a financial institution in its own right or otherwise is primarily conducting a business of trading, investing, managing or administering financial assets on behalf of other persons.

  General rules:
  - managed investment schemes are investment entities
  - trusts with professional corporate trustees (and often professional corporate investment managers) often are investment entities, such as unregistered (wholesale) managed investment schemes
  - discretionary family trusts are not usually investment entities, even if they have someone managing the trust's assets for them.

  Further details are provided by the ATO:

  ato.gov.au/General/International-tax-agreements/In-detail/International-arrangements/FATCA-detailed-guidance
- **a depository institution:** You accept deposits in the ordinary course of a banking or similar business e.g. a bank
- **a custodial institution:** A substantial portion of your business (20 per cent plus of gross income) is held in financial assets for the account of others e.g. a custodian
- **certain prescribed entities:** e.g. types of insurance companies that have cash value products or annuities

HELP: What is a Global Intermediary Identification Number (GIIN)?
Global Intermediary Identification Number are issued by US tax authorities (IRS) to non US financial institutions and sponsoring entities for purposes of identifying their registration with the IRS under US tax laws (FATCA).

7. Tax information (continued)

7.4 Are you an 'active' or 'passive' non-financial entity?

Please refer to 'HELP: What is an 'active' and 'passive' non-financial entity information box

☐ I am an 'active' non-financial entity
➤ Proceed to **section 8** (Portfolio Online)

☐ I am a 'passive' non-financial entity
➤ Proceed to **question 7.5**

7.5 Are you a non-financial public company regularly traded on an established securities market or affiliated thereto?

☐ **No**
➤ Proceed to **question 7.6**

☐ **Yes**
➤ Proceed to **section 8** (Portfolio Online)

HELP: What is an 'active' and 'passive' non-financial entity?

- **Relevant tax laws categorise you as a 'non-financial entity'.**
- **We require further from passive non-financial entities.**
- **Please advise us if you are an active or passive non – financial entity**
- **You are a passive non-financial entity if:**

1. **Your income and assets are mostly passive.** During the previous financial year, 50% or more of your gross income was passive income and 50% or more of your assets during that financial year were assets that produced or were held for the production of passive income. Passive assets are assets such as equities and debt securities that produce investment income such as dividends, interests, royalties and annuities.
2. **You are not a business.** Established and operated exclusively for: religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; as a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league; or as an organisation operated exclusively for the promotion of social welfare.
3. **You are exempt from Australian income tax.**

If you have any queries, please contact your accountant or tax adviser.

7.6 Do you have any 'controlling persons' who are residents of a country other than Australia for tax purposes?
Please refer to 'HELP: Controlling persons' information box

☐ I am a passive non-financial entity, and **I DO** have controlling persons who are residents of another country for tax purposes

➤ Please provide **controlling persons details** on the following page

➤ Proceed to **section 8** (Portfolio Online)

☐ I am a passive non-financial entity, and **I DO NOT** have controlling persons who are residents of a country other than Australia for tax purposes.

➤ Proceed to **section 8** (Portfolio Online)

HELP: Controlling persons

- **Controlling persons** are the natural persons who exercise **control** over you.
- For companies, use 25% as a touchstone – if a person holds 25% of more of the issued capital or voting rights, best to list them.
- For trusts, a controlling person includes the settlor, the trustees, the protector and the beneficiaries.
- Where no natural persons exercise control through ownership, consider who might exercise control through other means – directors and perhaps senior managing officials.

Controlling person 1

Title Given names

Surname

Date of birth D D / M M / Y Y Y Y

City and country of birth

Residential address

Number Street name

Suburb/City

State Post code/Zip Country

Country of tax residence

TIN

No TIN?
Please provide reason

Controlling person 2

Title Given names

Surname

Date of birth D D / M M / Y Y Y Y

City and country of birth

Residential address

Number Street name

Suburb/City

State Post code/Zip Country

Country of tax residence

TIN

No TIN?
Please provide reason

Controlling person 3	
Title	Given names
Surname	
Date of birth	D D / M M / Y Y Y Y
City and country of birth	
Residential address	
Number	Street name
	Suburb/City
Country of tax residence	
TIN	
No TIN? Please provide reason	

Controlling person 4	
Title	Given names
Surname	
Date of birth	D D / M M / Y Y Y Y
City and country of birth	
Residential address	
Number	Street name
	Suburb/City
Country of tax residence	
TIN	
No TIN? Please provide reason	

8. Portfolio online

www.janushenderson.com/australia

Portfolio online is a user friendly and secure website where you can access your investment details and statements. If you are an existing Portfolio online user, your investment in the Fund will be automatically added to your account. If you have not used Portfolio online before, you will be registered and instructions on how to access Portfolio online will be mailed with your allotment of Units confirmation. All new Investors will be set to receive electronic copies of correspondence, and all existing Investors will be set to receive electronic correspondence if an email address is provided in section 1 of this Application Form. You can update your communication preferences at anytime via Portfolio online or by contacting Client Services.

9. Declaration

Please read the PDS before signing the Application Form. Note that company Applicants usually require two signatures.

When you apply to invest, you ("the applicant") are confirming:

- all details in the Application form are true and correct and you agree to notify Janus Henderson Australia Client Services immediately if there is any change in such details
- you have received, read and understood the relevant PDS (and if applicable the Additional Information Guide ("AIG") for the relevant fund)
- monies deposited are not associated with crime, terrorism, money laundering or terrorism financing, nor will monies received from your account have any such association
- you agree to provide any information that may be requested for the purposes of Anti-Money Laundering and Counter-Terrorism Financing laws ("AML/CTF Laws")
- you have received or accepted this offer in Australia or New Zealand
- you are not bankrupt or a minor
- If you have elected to deduct your application amount via direct debit, you authorise and are providing a valid instruction as specified in the Direct Debit Request Service Agreement, in respect to your investment amount, to debit the account described in Section 5. Any amount which it may debit or charge through the direct debit system in connection with you Application form
- you agree to be bound by the constitution of the Funds and the PDS as supplemented, replaced or re-issued from time to time
- that if this Application form is signed by joint applicants, you agree that you hold the units as joint tenants and either of you may operate the account and bind the other, including with respect to future transactions such as deposits and withdrawals
- you acknowledge that you have read and understood the privacy section in the relevant PDS (and if applicable the AIG for the relevant fund) and consent to the collection, handling, use and disclosure of your personal information in accordance with the Privacy Act 1988 (Cth) and Australian Privacy Principles and as described in the PDS (and if applicable the AIG) and
- that the information provided in section 7 (Tax information) is complete and accurate.

If the application is signed by more than one person, who will operate the account? If this is an initial application, both signatories will be required to sign this Application Form before we can apply the election made below for "any to sign" or "all to sign together".

☐ Any to sign ☐ All to sign together

Signature 1		Signature 2	
Name		Name	
Date		Date	
Title		Title	

If a company officer or trustee, you MUST specify your title:

☐ Director ☐ Sole director and company secretary
☐ Trustee ☐ Other (please specify)

If a company officer or trustee, you MUST specify your title:

☐ Director ☐ Sole director and company secretary
☐ Trustee ☐ Other (please specify)

If more than two signatures are required, please attach an additional page with details including full names, signatures and titles of each account holder, and date.

DIRECT DEBIT REQUEST SERVICE AGREEMENT

Valid from 30 September 2026

This is your Direct Debit Service Agreement with Janus Henderson Investors (Australia) Funds Management Limited ABN 43 164 177 244 (User ID 492440). It explains what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider.

Please keep this agreement for future reference. It forms part of the terms and conditions of your Direct Debit Request (DDR) and should be read in conjunction with your DDR authorisation.

Definitions

Account means the account held at your financial institution from which we are authorised to arrange for funds to be debited.

Agreement means this Direct Debit Request Service Agreement between you and us.

Banking day means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.

Debit payment means a particular transaction where a debit is made.

Direct debit request means the Direct Debit Request between us and you.

Us or **we** means Janus Henderson Investors (Australia) Funds Management Limited ABN 43 164 177 244 (User ID 492440) which you (the Debit User) have authorised by requesting a Direct Debit Request.

You means the customer who has signed or authorised by other means the Direct Debit Request.

Your financial institution means the financial institution nominated by you on the Direct Debit Request at which the account is maintained.

Section 1. Debiting your account

1.1 By signing a Direct Debit Request or by providing us with a valid instruction, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you.

1.2 If we receive your completed application form **before** 2pm, we will arrange for funds to be debited from your account on the banking day following the day we receive your completed application form.

1.3 If we receive your completed application form **after** 2pm, we will arrange for funds to be debited from your account two (2) banking days following the day we receive your completed application form.

Section 2. Your obligations

2.1 It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a debit payment to be made in accordance with the Direct Debit Request.

2.2 If there are insufficient clear funds in your account to meet a debit payment:

a) you may be charged a fee and/or interest by your financial institution;

b) you may also incur fees or charges imposed or incurred by us; and

c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.

2.3 You should check your account statement to verify that the amounts debited from your account are correct.

Section 3. Dispute

3.1 If you believe that there has been an error in debiting your account, you should notify us directly on 1300 019 633 and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly.

3.2 If we conclude as a result of our investigations that your account has been incorrectly debited we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.

3.3 If we conclude as a result of our investigations that your account has not been incorrectly debited we will respond to your query by providing you with reasons and any evidence for this finding in writing.

Section 4. Accounts

You should check:

a) with your financial institution whether direct debiting is available from your account as direct debiting is not available on all accounts offered by financial institutions; and

b) your account details which you have provided to us are correct by checking them against a recent account statement.

Section 5. Confidentiality

5.1 We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.

5.2 We will only disclose information that we have about you:

(a) to the extent specifically required by law; or

(b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

Section 6. Notice

6.1 If you wish to notify us in writing about anything relating to this agreement, you should write to:

Janus Henderson Australia Client Services

GPO Box 804

Melbourne VIC 3001

6.2 We will notify you by sending a notice in the ordinary post to the address we have recorded for you in our registry.

6.3 Any notice will be deemed to have been received on the third banking day after posting.

If you have previously completed identification verification details for another Fund investment in the same name, you do not need to complete this form. If you have a financial adviser, you can complete this section or your adviser can complete section 6 of the Application Form and send us a copy of the relevant FSC/FPA Form. Otherwise, it is mandatory to complete this form and provide original certified copies of identification documentation for each Applicant.

Verification details – individuals

To be completed by individuals, individual trustees, joint individuals, joint individual trustees, beneficial owners or sole traders. Trustee Applicants also complete the trustee verification details.

OPTION 1: provide ONE original certified copy of one primary identification document

- ☐ **Valid Australian state or territory driver's licence** containing a photograph of the person
- ☐ **Australian passport** (a passport expired within the preceding two years is acceptable)
- ☐ **Card issued by a state or territory** for the purposes of proving a person's age containing a photograph of the person
- ☐ **Valid foreign passport** or similar travel document containing a photograph and the signature of the person (and if applicable, an English translation by an accredited translator)

OPTION 2: provide TWO original certified copies of secondary identification documents, one from A and one from B

Category A

- ☐ **Australian birth certificate**
- ☐ **Australian or foreign citizenship certificate**
- ☐ **Pension or health card issued by Centrelink**
- ☐ **Valid Medicare card**

Category B

- ☐ **A document issued by the Commonwealth or a state or territory** within the preceding 12 months that records the provision of financial benefits
- ☐ **A document issued by the ATO** within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or the Commonwealth to the individual), which contains the individual's name and residential address (block out any TFN references)
- ☐ **A document issued by a local government body or utilities provider** within the preceding three months which records the provision of services (must contain the individual's name and residential address)
- ☐ **Australian marriage certificate**
- ☐ **If under 18 years old,** a notice issued to the individual by a school principal within the preceding three months, that contains the name, residential address and the period of time attended at that school

Verification details – trustees

To be completed by ALL trustee Applicants - individual trustee(s) and individuals who are beneficial owners through one or more holdings of more than 25% of the trust's issued capital also complete the individual verification details. Corporate trustee(s) also complete the corporate verification details.

OPTION 1: SELF MANAGED SUPER FUND APPLICANTS Provide a copy of one identification document

- ☐ **A search extract from the ASIC, ATO or relevant regulator's website** (e.g. 'Super Fund Lookup' at superfundlookup.gov.au)
- ☐ **An original certified copy or extract of the trust deed which shows the full name of the trust and the settlor name (if applicable)**

OPTION 2: ALL OTHER TRUST APPLICANTS Provide an original certified copy of one identification document

Category A

- ☐ **A notice issued by the ATO** within the last 12 months (block out any TFN references)
- ☐ **An original letter from a solicitor or qualified accountant** that confirms the full name of the trust and its appointer and settlor
- ☐ **An original certified copy or extract of the trust deed**
- ☐ **A copy of an offer document of the managed investments scheme** (e.g. a copy of the PDS)
- ☐ **A copy or relevant extract of the legislation** establishing the government superannuation fund sourced from a government website.

For government Superannuation funds, provide the name of the legislation establishing the fund:

For other regulated trusts, provide the name of the regulator and provide the trust's registration/licensing details:

Verification details – corporates

To be completed by ALL corporate Applicants - individuals who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital must also complete the individual verification details.

Australian Company verification documents:

If a proprietary company:

- ☐ **An ASIC Company Extract** from the ASIC Connect website; or
- ☐ **An original certified copy of the company's certificate of registration or incorporation issued by ASIC.**

If a public company:

- ☐ **A search of the relevant financial market exchange;** or
- ☐ **A search of the licence or other records of the relevant regulator;** or
- ☐ **A public document issued by the company**

For Public companies, answer one of the following questions:

- ☐ **For Australian Public Listed company** (ASX)

Name of market / exchange:

- ☐ **For majority Owned Subsidiary of an Australian Public Listed company** (ASX)

Australian listed company name:

- ☐ **For non ASIC regulated company**

Regulator name:

License details:

What is a certified copy?

Certified copies are true copies of original documents with an original certification from the certifier. A certified copy is a document that has been certified as a true copy of the original document by one of the following persons:

- an officer with, or authorised representative of, a holder of an AFSL, having two or more continuous years of service with one or more licensees;
- an officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declarations Regulations 1993 (Cth));
- a finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 1993 (Cth));
- a Justice of the Peace;
- a notary public (for the purposes of the Statutory Declarations Regulations 1993 (Cth));
- an agent of Australian Postal Corporation who is in charge of an office supplying postal services to the public;
- a permanent employee of Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public;
- a member of Chartered Accountants Australia and New Zealand, CPA Australia or the Institute of Public Accountants with two or more years of continuous membership;
- a person who is enrolled on the roll of the Supreme Court of a state or territory, or the High Court of Australia, as a legal practitioner (however described);
- a judge of a court;
- a magistrate;
- a chief executive officer of a Commonwealth court;
- a registrar or deputy registrar of a court;
- a police officer; or
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955 (Cth)).

What must the certifier do?

The certifier must confirm the copy is certified as a true copy of the original documentation and clearly state their name, category and date of certification. An example of appropriate certification wording is:

"I certify this (and the following pages each of which I have signed/initialled) to be a true copy of the document shown and reported to me as the original."

Please note certification is only accepted if within two years of date of application.

Registrable names

Only legal entities (such as companies and superannuation funds, natural persons etc) are allowed to hold interests in the Fund. The application must be in the name(s) of natural person(s), companies or other legal entities acceptable to the Responsible Entity. For trusts, the name of the beneficiary or any other non-registrable name may be included by way of an account designation if completed exactly as described in the example of correctly registrable names shown below.

Type of investor	Correct form of registrable name	Incorrect form of registrable name
Individuals	John Alfred Smith	J A Smith
Companies Use trustee(s) personal names, do not use name of the trust	ABC Pty Limited	ABC P/L ABC Co
Trusts Use trustee(s) personal names, do not use name of the trust	Sue Smith ATF <Sue Smith Family Trust>	Sue Smith Family Trust
Deceased estates Use executor(s) personal names, do not use name of the deceased	John Smith <Est Jane Smith A/c>	Estate of the Late Jane Smith
Clubs/unincorporated bodies/ business names Use office bearer(s) name(s), in addition to name of the club etc	Michael Smith <ABC Tennis Association A/c>	ABC Tennis Association
Superannuation funds Use name of trustee of the fund, do not use name of the fund	Jane Smith Pty Limited ATF <Super Fund A/c>	Jane Smith Pty Limited Superannuation Fund

Contact details

Investor Registry

You should send all original completed paper copy Application Forms to the client services

Address: GPO Box 804
Melbourne VIC 3001

Phone: 1300 019 633 (Australia)
+613 9445 5067 (outside Australia)

Email: JH_clientservices@unitregistry.com.au

You should also contact the client services if you:

- have any queries regarding the Application Form;
- want to change your address or name;
- want to record your TFN;
- want to check your investment details; or
- require assistance with other registry matters.