

Coverdell ESA Transfer Form

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-1093

Janus Henderson
INVESTORS

Use this form to transfer your Coverdell Education Savings Account (ESA) from another institution directly to a Coverdell ESA at Janus Henderson.

- If you are opening a new account with this transfer, please enclose a Janus Henderson Coverdell ESA Application.
- Print in capital letters using black ink.
- Questions? Call 800-525-1093.

Note: You must be a current Janus Henderson retail shareholder or a member of their immediate family or household to open a new account directly with Janus Henderson. Please provide the information required in Section 1 of the application to establish your eligibility.

1. Please provide the following information.

Student's Name	Student's Social Security Number	Student's Date of Birth
Responsible Individual's Name	Responsible Individual's Social Security Number	
Street Number	Street Name	Apartment Number
City	State	Zip Code
Phone Number	Additional Phone Number (optional)	

2. How would you like your transferred assets invested at Janus Henderson? (check one)

Option A

- Open a new account, and deposit the proceeds as indicated on the enclosed Coverdell ESA Application.

Option B

- Deposit the proceeds into my existing Janus Henderson Coverdell ESA as indicated below.

Fund Name or Number	Existing Janus Henderson Account Number	% or \$ Amount
Fund Name or Number	Existing Janus Henderson Account Number	% or \$ Amount
Fund Name or Number	Existing Janus Henderson Account Number	% or \$ Amount

5. Please read and sign below.

Please liquidate assets as indicated in Section 3, and forward the assets to Janus Henderson. I understand that this transfer of assets is to be executed as a fiduciary-to-fiduciary transfer so as not to put me in actual or constructive receipt of all or any part of the transferred assets. I have established a Coverdell ESA at Janus Henderson to receive this transfer.

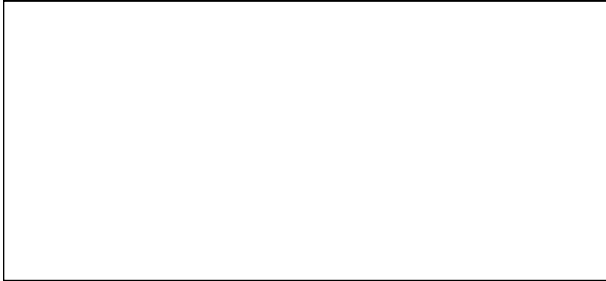
X

Signature of Responsible Individual

Date

Printed Name of Responsible Individual

**SIGNATURE GUARANTEE STAMP
(Including Medallion Guarantees)**



PLACE GUARANTEE STAMP AND AUTHORIZED SIGNATURE INSIDE OF THE SPACE PROVIDED ABOVE. DO NOT OVERLAP ANY PART OF THE STAMP AND/OR SIGNATURE WITH OTHER TEXT IN THE APPLICATION.

A signature guarantee assures a signature is genuine and protects you from unauthorized requests on your account. Financial institutions that may guarantee signatures include banks, savings and loans, trust companies, credit unions, broker/dealers and member firms of a national securities exchange. Contact the financial institution you intend to obtain a signature guarantee from for further information. **A notary public cannot provide a signature guarantee.**

FOR OFFICE USE ONLY – DO NOT WRITE BELOW THIS LINE

To Be Completed By Janus Henderson

To Resigning Trustee

State Street Bank and Trust Company accepts appointment as Successor Custodian. We have established a Coverdell ESA for the named participant and will accept the transfer of plan assets on a fiduciary-to-fiduciary basis.

Douglas J. Laird, President
State Street Bank and Trust Company Authorized Signature

Note To Resigning Trustee

Please make check payable to: **Janus Henderson FBO**

Investor's Name

File Number

Please send check to:
Janus Henderson
PO Box 219109
Kansas City, MO 64121-9109

Janus Henderson Overnight / Physical Address:
Janus Henderson
430 W 7th Street, Suite 219109
Kansas City, MO 64105-1407

Copies of this document shall be treated as original for all purposes. Void if not signed by account owner.