

## DEALING FORM

EXTERNAL CODE: KIID

**Investors are required to have read the latest version of the relevant Key Investor Information Document (the "KIID") prior to placing a subscription. These documents are available from [www.janushenderson.com](http://www.janushenderson.com).**

**Please complete this form in BLOCK CAPITALS and return to:**

The Registrar and Transfer Agent: RBC Investor Services Bank S.A., 14 Porte de France, L-4360 Esch-sur-Alzette, Grand Duchy of Luxembourg

Tel: +352 2605 9601

Fax: +352 2460 9937

Please tick the box (as appropriate) to indicate whether you are an existing investor in the Janus Henderson Fund (the "Fund"):

Yes  No

If yes, please provide your Registered Account Number

If no, please also complete the Application Form and send together with this dealing form

### SECTION 1 – Investment choice

**The Janus Henderson Fund provides sub-classes of shares.**

R shares	Front-end load shares with an initial sales charge of up to 5%.
I shares	Institutional share class
B shares	Front-end load shares with an initial sales charge of up to 5% plus a service fee.
Distribution shares (Dist)	Distributing shares that pay out dividends, if any, on a regular basis.
Accumulation shares (Acc)	Non-distributing shares that do not pay out dividends or interest. Any dividends arising from the underlying securities are retained in the fund.

For R and B shares the minimum subscription amount in each fund is €2,500 or the equivalent in the payment currency. The minimum subsequent subscription amount for each fund is €500 or the equivalent in the payment currency.

For I shares The minimum subscription amount in each fund is €1,000,000 or the equivalent in the payment currency. The minimum subsequent subscription amount for each fund is €50,000 or the equivalent in the payment currency.

Please refer to the current Janus Henderson Fund Prospectus (the "Prospectus") for further information.

Please complete Appendix 1, Investment Choice.

Applications for shares will only be accepted either by fax or in writing (for authorised parties). The Fund will not accept unsolicited receipts of cash for either new or existing customers.

Daily dealing cut off is 3.00pm Luxembourg time on any Business Day. For deals placed after this deadline, deals will be processed on the next Business Day after receipt. Trades will only be placed once an account has been opened i.e. a completed Application Form and all necessary Know Your Client/Anti-Money Laundering documentation has been received. Please be advised that if no Anti-Money Laundering documentation is held for you, your redemption proceeds will be delayed until such verification is received.

#### Unhedged Share Classes

Shares may be bought in any major currency accepted by the Registrar and Transfer Agent. However, if the currency of investment is different from the currency of the relevant share class, the necessary currency conversion will be arranged on behalf of, and at the risk and expense of, the applicant.

#### Hedged Share Classes

Payment should be made in the currency of the relevant share class.

Please refer to the current Prospectus for further information on the unhedged and hedged share classes and on the base currency of the funds.

## SECTION 2

### Correspondent banks

#### EUR

Bank of America N.A.  
Neue Mainzer Strasse 52  
60311 Frankfurt am Main  
Germany  
for credit to: A/C 18176014  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT Code: BOFADEFX  
IBAN: DE595001 0900 0018 1760 14  
BLZ Code: 50010900

#### USD

Bank of America N.A.  
222 Broadway  
New York, NY 10038  
USA  
for credit to: A/C 6550-9-67997  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT Code: BOFAUS3N  
ABA Routing Code: 026009593  
CHIPS: 959

#### NOK

Bank of America N.A.  
2 King Edward Street  
London, EC1A 1HQ  
UK  
A/C Name: Janus Henderson Fund – Collection  
SWIFT Code: BOFAGB22  
IBAN: GB66 BOFA 1650 5068 4511 04

#### GBP

Bank of America N.A.  
2 King Edward Street  
London, EC1A 1HQ  
UK  
for credit to: A/C 68451013  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT Code: BOFAGB22  
CHAPS Sort Code: 165050  
BACS Sort Code: 301635

#### JPY

Bank of America N.A.  
Nihonbashi 1-Chome Building  
1-4-1 Nihonbashi Chuo-Ku  
Tokyo 103-0027, Japan  
for credit to: A/C 6064-22856011  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT Code: BOFAJPJX

#### SEK

Bank of America N.A.  
2 King Edward Street  
London, EC1A 1HQ  
UK  
A/C Name: Janus Henderson Fund – Collection  
SWIFT Code: BOFAGB22  
IBAN: GB44 BOFA 1650 5068 4511 12

#### SGD

Bank of America N.A.  
Oue Bayfront 14-01 50  
Collyer Quay  
Singapore 049321  
for credit to: A/C 70093011  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT code: BOFASG2X

#### CHF

Bank of America N.A. Zurich Branch  
Stockerstrasse 23  
Postfach Ch 8002, Zurich  
Switzerland  
A/C name: Janus Henderson Fund SICAV –  
Collection  
SWIFT Code: BOFACH2X  
IBAN: CH2708726000050109010

#### AUD

Bank of America N.A.  
Level 34, Governor Phillip Tower  
1 Farrer Place  
Sydney NSW 2000  
Australia  
A/C Name: Janus Henderson Fund – Collection  
Swift Code: BOFAAUSX  
Account number: 18775014

For **subscriptions**: Settlement must be made by electronic funds transfer within three (3) Business Days (with the exception of local bank or legal holidays occurring in a jurisdiction where the denomination of the relevant share class is in the official currency of that country) after the applicable Dealing Cut Off (the "Settlement Day"). Investors should ensure that any charges incurred on electronic transfers are included in the amount transferred.

- The Company reserves the right to cancel any purchase order or allotment of Shares or to redeem Shares, if subscription monies are not received in cleared funds and in the reference currency of the relevant Share Class by the Settlement Day. Any funds subsequently received in relation to such purchase order will be returned (without interest) to the applicant.
- Where the settlement date is not a bank business day in Luxembourg or another financial centre affecting the movement of the relevant currency, the settlement date shall be the following bank business day in those financial centres.

For **redemptions**: electronic transfer is the method of payment. Please complete the details below:

Currency EUR  GBP  USD  JPY  SGD  CHF  NOK  SEK  AUD

Bank Name		
Street/No		
Postcode	City	Country
BIC/SWIFT code <input type="text"/>		
and/or National Bank Code		
(ex.BLZ, sort code...)		
Account number (IBAN if existing)*		
Account holder name**		
Clearstream/Euroclear# Account number		

If settling through Clearstream# or Euroclear# the account number is 10096.

Investors should note that any transfer to be settled through Euroclear# must be in respect of a whole number of Shares. Transactions settled through Clearstream or Vestima or FundSettle may be in relation to a fraction of a Share.

- Unless the Investor specifies a required number of whole Shares in the Investment Details section overleaf, purchases will be rounded down to the nearest whole number of Shares and redemptions will be rounded up to the nearest whole number of Shares.

\* Please note that an IBAN must be provided if your account is in Euro.

\*\* Please note that third party payments are not accepted (except if the investor is a minor – in this case, payment can be made by the guardian).

# Clearstream includes Vestima, Euroclear includes FundSettle.

### SECTION 3 – Authorised signatories

Investor's signatory	Investor's signatory
Signatory's name	Signatory's name
Date	Date

#### Declaration

By signing above the applicant certifies that the acknowledgements, representations and warranties made and given in the original applications continue to be true and accurate with respect to the transactions specified above.

**If you have not declared on your Application Form that you will obtain the relevant KIID from our website [www.janushenderson.com](http://www.janushenderson.com) or you do not have internet access, you must declare that you have received and read the latest version of each relevant KIID prior to submitting this investment application by ticking the box below.**

I/we declare that I/we have received and read the latest version of each relevant KIID prior to submitting this investment application.

**By subscription or purchase of shares, you accept that the entries in the register of shareholders may be made available to other companies within the Janus Henderson Group, for the purposes of providing enhanced shareholder related services.**

### SECTION 4 – Data Protection

The use of the personal data you provide to us in this Form is governed by the General Data Protection Regulation (EU) 2016/679 (GDPR) and Janus Henderson's Privacy Policy. The Privacy Policy details our collection, use and processing of your personal data (including the data you provide in this form) and sets out your rights. A copy of the document is available under the Privacy Policy section of our website at [www.janushenderson.com](http://www.janushenderson.com) and in hard copy by sending a request to [privacy@janushenderson.com](mailto:privacy@janushenderson.com).

## Appendix 1 – Investment choice

Fund name	Share class name	ISIN code	Amount (state currency)	Number of shares	Subscription (please tick)	Redemption (please tick)
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>

\* For an explanation of the different share classes, please refer to the Janus Henderson Fund Prospectus.

**Janus Henderson**  
— INVESTORS —

We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes.

Issued by Janus Henderson Investors. Janus Henderson Investors is the name under which investment products and services are provided by Janus Capital International Limited (reg. no. 3594615), Henderson Global Investors Limited (reg. no. 906355), Henderson Investment Funds Limited (reg. no. 2678531), AlphaGen Capital Limited (reg. no. 962757), Henderson Equity Partners Limited (reg. no. 2606646), (each registered in England and Wales at 201 Bishopsgate, London EC2M 3AE and regulated by the Financial Conduct Authority) and Henderson Management S.A. (reg. no. B22848 at 2 Rue de Bitbourg, L-1273, Luxembourg and regulated by the Commission de Surveillance du Secteur Financier).

Janus Henderson, Janus, Henderson, Perkins, Intech, AlphaGen, VelocityShares, Knowledge. Shared and Knowledge Labs are trademarks of Janus Henderson Group plc or one of its subsidiaries. © Janus Henderson Group plc.